

The generating rank of the symplectic  
grassmannians:  
hyperbolic and isotropic geometry

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Accepted: March 7 2006

Key Words: symplectic geometry, grassmannian, generating rank,  
hyperbolic geometry

AMS subject classification (2000): Primary 51A50; Secondary  
51A45, 05A15.

Proposed running head:

Symplectic grassmannians

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### **Abstract**

Exploiting the interplay between hyperbolic and isotropic geometry, we prove that the grassmannian of totally isotropic  $k$ -spaces of the polar space associated to the symplectic group  $\mathrm{Sp}_{2n}(\mathbb{F})$  has generating rank  $\binom{2n}{k} - \binom{2n}{k-2}$  when  $\mathrm{Char}(\mathbb{F}) \neq 2$ .

## 1 Introduction

Generating sets of point-line geometries serve both theoretical and computational purposes. For instance, the generating rank of a geometry equals the dimension of the universal embedding of that geometry, if it exists. On the other hand, minimal generating sets may serve in creating computer models of point-line geometries.

Until now, the building of type  $A_n$  of all linear subspaces of a vector space is the only one of which the generating rank is known for each of its  $k$ -shadow spaces (projective  $k$ -Grassmannians) (see Cooperstein and Shult [7] and Blok and Brouwer [4]). In this paper we deal with the symplectic building of type  $C_n$  over a field of odd characteristic and exhibit minimal generating sets for all symplectic  $k$ -Grassmannians.

For a few of these geometries a minimal generating set has already been found. Let  $\mathbb{F}$  be a field of characteristic not 2. It was proved by Cooperstein and Shult [7] and Blok and Brouwer [4] that the generating rank of the symplectic polar space associated to the group  $\mathrm{Sp}_{2n}(\mathbb{F})$  has generating rank  $2n = \binom{2n}{1} - \binom{2n}{-1}$ . In [5] Blok and Pasini prove that the line-grassmannian of the symplectic polar space associated to the group  $\mathrm{Sp}_{2n}(\mathbb{F})$ , if  $\mathbb{F}$  is a prime field, has generating rank  $\binom{2n}{2} - \binom{2n}{0}$ . In Cooperstein [12] and Blok [3] it is proved that this geometry has that generating rank over an arbitrary field of characteristic not 2. In [10] Cooperstein proved that the dual polar space associated to  $\mathrm{Sp}_{2n}(\mathbb{F})$  has generating rank  $\binom{2n}{n} - \binom{2n}{n-2}$ . The generating sets presented in the above papers vary greatly in structure.

It is one of our main goals to describe a minimal generating set for an arbitrary symplectic grassmannian in a unified way and to prove the following.

**Theorem 1** *The  $k$ -grassmannian of the polar space associated to  $\mathrm{Sp}_{2n}(\mathbb{F})$  has generating rank  $\binom{2n}{k} - \binom{2n}{k-2}$  if  $\mathbb{F}$  is a field with  $\mathrm{Char}(\mathbb{F}) \neq 2$ .*

We mention that in even characteristic the geometry can be viewed as orthogonal rather than symplectic through the isomorphism  $\mathrm{Sp}_{2n}(\mathbb{F}) \cong \mathrm{O}_{2n+1}(\mathbb{F})$  induced by projection from the nucleus of the bilinear form. Generating results for such geometries were obtained by Brouwer and Blokhuis [6], Cooperstein [8], and Li [13].

More on generating sets can be found in Cooperstein [11] and Blok [2].

## 2 Preliminaries

A *point-line geometry* is a pair  $\Gamma = (\mathcal{P}, \mathcal{L})$  where  $\mathcal{P}$  is a set whose elements are called ‘points’ and  $\mathcal{L}$  is a collection of subsets of  $\mathcal{P}$  called ‘lines’ with the property that any two points belong to at most one line. If  $\mathcal{P}$  and  $\mathcal{L}$  are not mentioned explicitly, the sets of points and lines of a point-line geometry  $\Gamma$  are denoted  $\mathcal{P}(\Gamma)$  and  $\mathcal{L}(\Gamma)$ .

A *subspace* of  $\Gamma$  is a subset  $X \subseteq \mathcal{P}$  such that any line containing at least two points of  $X$  entirely belongs to  $X$ . A *hyperplane* of  $\Gamma$  is a proper subspace that meets every line.

**Projective embeddings and Generating sets** The *span* of a set  $\mathcal{S} \subseteq \mathcal{P}$  is the smallest subspace containing  $\mathcal{S}$ ; it is the intersection of all subspaces containing  $\mathcal{S}$  and is denoted by  $\langle \mathcal{S} \rangle_\Gamma$ . We say that  $\mathcal{S}$  is a *generating set* (or *spanning set*) for  $\Gamma$  if  $\langle \mathcal{S} \rangle_\Gamma = \mathcal{P}$ .

For a vector space  $W$  over some field  $\mathbb{F}$ , the *projective geometry* associated to  $W$  is the point-line geometry  $\mathbb{P}(W) = (\mathcal{P}(W), \mathcal{L}(W))$  whose points are the 1-spaces of  $W$  and whose lines are the sets of 1-spaces contained in some 2-space.

A *projective embedding* of a point-line geometry  $\Gamma = (\mathcal{P}, \mathcal{L})$  is a pair  $(\epsilon, W)$ , where  $\epsilon$  is an injective map  $\mathcal{P} \xrightarrow{\epsilon} \mathcal{P}(W)$  that sends every line of  $\mathcal{L}$  onto a line of  $\mathcal{L}(W)$ , and with the property that

$$\langle \epsilon(\mathcal{P}) \rangle_{\mathbb{P}(W)} = \mathcal{P}(W).$$

In the literature, this is often referred to as a *strong projective embedding*. The *dimension* of the embedding is the dimension of the vector space  $W$ . In this paper we will assume both  $\dim(W)$  and  $|\mathcal{S}|$  to be finite. Then, since  $\epsilon(\langle \mathcal{S} \rangle_\Gamma) \subseteq \langle \epsilon(\mathcal{S}) \rangle_W$ , for any generating set  $\mathcal{S}$  and any embedding  $(\epsilon, W)$  we have

$$\dim(W) \leq |\mathcal{S}|.$$

In case of equality,  $\mathcal{S}$  is a minimal generating set and  $W$  is an embedding of maximal dimension. We then call  $\dim(W) = |\mathcal{S}|$  the *generating rank* of  $\Gamma$ .

We briefly describe the particular geometries we will discuss in this paper.

**The Projective Grassmannian** Let  $V$  be a vector space over some field  $\mathbb{F}$ . For any  $k$  with  $1 \leq k \leq \dim(V)$ , the *projective  $k$ -grassmannian* associated to  $V$  is the point-line geometry  $\text{Gr}(V, k)$  whose points are the  $k$ -spaces of  $V$  and whose lines are sets of the form

$$\{K \text{ a } k\text{-space in } V \mid D \subseteq K \subseteq U\}$$

for some  $(k-1)$ -space  $D$  and  $(k+1)$ -space  $U \supseteq D$ .

**The Symplectic Grassmannian** Let  $V$  be a vector space of dimension  $2n$  over a field  $\mathbb{F}$  endowed with a non-degenerate symplectic form  $\mathfrak{s}(\cdot, \cdot)$ . For a subspace  $U$  of  $V$  we define

$$U^\perp = \{v \in V \mid \mathfrak{s}(u, v) = 0 \forall u \in U\}.$$

We write  $U \perp W$  if  $W \subseteq U^\perp$ . The *radical* of a subspace  $W$  is

$$\text{Rad}(W, \mathfrak{s}) = W^\perp \cap W.$$

A subspace  $U$  of  $V$  is called *totally isotropic* (t.i.) with respect to the form  $\mathfrak{s}(\cdot, \cdot)$  if  $U \subseteq U^\perp$ . It is called non-degenerate if  $\text{Rad}(U) = \{0\}$ .

The *symplectic polar space* is the point-line geometry  $\Gamma_1^{\mathfrak{s}}$  whose points are the t.i. 1-spaces of  $V$  and whose lines are sets of 1-spaces of the form

$$\{P \text{ a t.i. 1-space of } V \mid P \subseteq L\}$$

for some t.i. 2-space  $L$ . We sometimes call t.i. 3-spaces *planes*. A *hyperbolic line*  $H$  is a 2-space in  $V$  such that  $\mathfrak{s}$  restricted to  $H$  is non-degenerate.

The *symplectic  $k$ -grassmannian* is the point-line geometry  $\Gamma_k^{\mathfrak{s}}(V) = (\mathcal{P}_k, \mathcal{L}_k)$  whose points are the t.i.  $k$ -spaces and whose lines are the sets of the form

$$\{K \text{ a t.i. } k\text{-space in } V \mid D \subseteq K \subseteq U\}$$

for some t.i.  $(k-1)$ -space  $D$  and t.i.  $(k+1)$ -space  $U \supseteq D$ . In case  $k = n$ , the lines are of the form

$$\{K \text{ a t.i. } k\text{-space in } V \mid D \subseteq K\}$$

for some t.i.  $(n-1)$ -space  $D$ . We will call the points and lines of  $\Gamma_k^{\mathfrak{s}}(V)$  Points and Lines to distinguish them from the points and lines of  $\Gamma_1^{\mathfrak{s}}(V)$ . Whenever  $V$  or  $\mathfrak{s}$  is clear from the context, we'll drop it from the notation.

We denote the group of linear transformations preserving the form  $\mathfrak{s}$  on  $V$  by  $\text{Sp}(V) \cong \text{Sp}_{2n}(\mathbb{F})$ . Clearly  $\text{Sp}(V)$  is an automorphism group of  $\Gamma_k^{\mathfrak{s}}$  for all  $k = 1, 2, \dots, n$  in that it preserves points and lines and the incidence between them.

We will need the following result from Blok and Brouwer [4] and Cooperstein and Shult [7] on the generating rank of  $\Gamma_1$ .

**Theorem 2.1** *Let  $\Gamma_1$  be the symplectic polar space associated to  $\text{Sp}(V)$  where  $\text{Char}(\mathbb{F}) \neq 2$ . Then  $\Gamma_1$  has generating rank  $2n$  and it is generated by the  $2n$  points of an apartment i.e. a hyperbolic basis.*

### 3 Hyperbolic geometry and hyperbolic $2n$ -gons

Let  $V$  be a vector space of dimension  $2n$  over a field  $\mathbb{F}$  of odd characteristic endowed with a non-degenerate symplectic form  $\mathfrak{s}(\cdot, \cdot)$ . For any subspace  $W \subseteq V$  with the form induced by  $\mathfrak{s}$ , the *hyperbolic geometry of  $W$*  is the point-line geometry  $\Gamma_{\text{hyp}}(W) = (\mathcal{P}_{\text{hyp}}(W), \mathcal{L}_{\text{hyp}}(W))$  with

$$\begin{aligned} \mathcal{P}_{\text{hyp}} &= \{1\text{-spaces in } W - \text{Rad}(W)\} \\ \mathcal{L}_{\text{hyp}} &= \{\text{hyperbolic } 2\text{-spaces in } W - \text{Rad}(W)\} \end{aligned}$$

We set  $\Gamma_{\text{hyp}} = \Gamma_{\text{hyp}}(V)$ ,  $\mathcal{P}_{\text{hyp}} = \mathcal{P}_{\text{hyp}}(V)$ ,  $\mathcal{L}_{\text{hyp}} = \mathcal{L}_{\text{hyp}}(V)$ . Note that, if  $W$  is non-degenerate, then  $\Gamma_1(W)$  and  $\Gamma_{\text{hyp}}(W)$  have the same point-set.

**Definition 3.1** Let  $W \leq V$  be a subspace of dimension  $m$  such that

$$\dim(\text{Rad}(W, \mathfrak{s})) = \begin{cases} 1 & \text{if } m \text{ is odd} \\ 0 & \text{otherwise.} \end{cases}$$

A *tight hyperbolic sequence* or THS for  $W$  is an ordered set of points in  $\Gamma_{\text{hyp}}(W)$   $p_1, p_2, \dots, p_m \subseteq W - \text{Rad}(W, \mathfrak{s})$ , such that setting  $W_i = \langle p_1, p_2, \dots, p_i \rangle_W$ , we have

(THS1)  $\dim(W_i) = i$ ,

(THS2)  $p_{i+1}^\perp \cap \text{Rad}(W_i, \mathfrak{s}) = 0$ , and

(THS3)

$$\dim(\text{Rad}(W_i, \mathfrak{s})) = \begin{cases} 1 & \text{if } i \text{ is odd} \\ 0 & \text{otherwise.} \end{cases}$$

Note that in fact (THS3) follows from (THS1)+(THS2).

**Lemma 3.2** Let  $W \leq V$  be a subspace as in Definition 3.1. Then,

(a)  $W$  has a tight hyperbolic sequence,

If, in addition,  $|\mathbb{F}| \geq 3$ , then

(b) the points on a tight hyperbolic sequence generate  $\Gamma_{\text{hyp}}(W)$ .

**Proof** (a) We prove this by induction on  $m$ . For  $m = 2$  we have  $\text{Rad}(W, \mathfrak{s}) = 0$  and so any two points, in any order, form a THS. Now let  $m > 2$ .

If  $m$  is even, then  $\dim(\text{Rad}(W, \mathfrak{s})) = 0$  and any subspace  $W_{m-1}$  of dimension  $m - 1$  has  $\dim(\text{Rad}(W_{m-1}, \mathfrak{s})) = 1$ . If  $m$  is odd then  $\dim(\text{Rad}(W, \mathfrak{s})) = 1$  and any  $W_{m-1}$  of dimension  $m - 1$  not containing  $\text{Rad}(W, \mathfrak{s})$  has  $\dim(\text{Rad}(W_{m-1}, \mathfrak{s})) = 0$ . By induction there exists a THS  $p_1, p_2, \dots, p_{m-1}$  for  $W_{m-1}$  so we only need to find  $p_m$ .

If  $m - 1$  is even, then,  $\text{Rad}(W_{m-1}, \mathfrak{s}) = 0$  and  $\dim(W_{m-1}^\perp) = 1$ . So we can choose  $p_m \subseteq W - W_{m-1} - W_{m-1}^\perp$  and we are done. If  $m - 1$  is odd, then  $\dim(\text{Rad}(W_{m-1}, \mathfrak{s})) = 1$  and  $\dim(\text{Rad}(W, \mathfrak{s})) = \dim(\text{Rad}(W_{m-2}, \mathfrak{s})) = 0$ . We find that  $W_{m-2}^\perp \cap W$  is a hyperbolic line containing  $\text{Rad}(W_{m-1}, \mathfrak{s})$ . Choosing  $p_m$  also in this hyperbolic line, but different from  $\text{Rad}(W_{m-1}, \mathfrak{s})$  we ensure that  $p_m^\perp \cap \text{Rad}(W_{m-1}, \mathfrak{s}) = 0$  and  $\langle W_{m-1}, p_m \rangle_W = W$ . This concludes the proof of part (a).

(b) Again, we prove this by induction on  $m$ . For  $m = 2$  any THS is a set of two points which generate the line of  $\Gamma_{\text{hyp}}(W)$  that is  $W$  itself.

Now let  $m > 2$  and let  $p_1, p_2, \dots, p_m$  be a THS for  $W = W_m$ . By induction  $\langle p_1, p_2, \dots, p_{m-1} \rangle_{\Gamma_{\text{hyp}}}$  contains all points of  $W_{m-1} - \text{Rad}(W_{m-1}, \mathfrak{s})$ .

Note that every 2-space containing  $p_m$  and a point of  $W_{m-1} - \text{Rad}(W_{m-1}, \mathbf{s}) - (p_m^\perp \cap W_{m-1})$  is hyperbolic. Therefore  $\langle p_1, p_2, \dots, p_m \rangle_{\Gamma_{\text{hyp}}}$  contains all points on such hyperbolic 2-spaces.

It remains to show that all points (except  $\text{Rad}(W, \mathbf{s})$ ) on some 2-space containing  $p_m$  and a point of  $\text{Rad}(W_{m-1}, \mathbf{s}) \cup (p_m^\perp \cap W_{m-1})$  are in  $\langle p_1, p_2, \dots, p_m \rangle_{\Gamma_{\text{hyp}}}$ .

We first deal with the special case  $m = 3$ . By definition of a THS,  $p_3^\perp \not\subseteq W_2$ . Using that  $\Gamma_{\text{hyp}}$  is a dual affine plane, one easily checks that  $p_3$  together with any two points on  $W_2$  generates  $\Gamma_{\text{hyp}}$ .

Now let  $m > 3$ . First consider any point  $x \in p_m^\perp \cap W_{m-1}$ . In view of (THS2) we know that  $x \in W_{m-1} - \text{Rad}(W_{m-1}, \mathbf{s}) = \langle p_1, p_2, \dots, p_{m-1} \rangle_{\Gamma_{\text{hyp}}}$ . Let  $y \in L = \langle x, p_m \rangle_W$  with  $y \neq \text{Rad}(W, \mathbf{s})$ . We show that there exists a point

$$z \in W_{m-1} - \text{Rad}(W_{m-1}, \mathbf{s}) - (p_m^\perp \cup x^\perp \cup y^\perp).$$

Then,  $x, z, p_m$  is a THS for  $W' = \langle x, z, p_m \rangle_W$  and  $y \notin \text{Rad}(W', \mathbf{s})$ . It then follows from case  $m = 3$  that  $y \in \langle x, z, p_m \rangle_{\Gamma_{\text{hyp}}}$  and we are done since  $x, z, p_m \in \langle p_1, p_2, \dots, p_m \rangle_{\Gamma_{\text{hyp}}}$ .

We have two cases.

(1)  $x^\perp \cap W_{m-1} = p_m^\perp \cap W_{m-1}$ . In this case first note that  $x^\perp \cap W_{m-1} = \{x, p_m\}^\perp \cap W_{m-1} = y^\perp \cap W_{m-1}$ . Now let  $z$  be any point in  $W_{m-1} - \text{Rad}(W_{m-1}, \mathbf{s}) - (p_m^\perp \cap W_{m-1})$ . Such a point exists otherwise  $x = \text{Rad}(W_{m-1}, \mathbf{s}) \subseteq p_m^\perp$  contradicting the THS property for  $p_m$ .

(2)  $x^\perp \cap W_{m-1} \neq p_m^\perp \cap W_{m-1}$ . In this case the hyperplanes  $t^\perp \cap W_{m-1}$  with  $t \in L = xp_m$  are all distinct and intersect in the codimension-2 subspace  $L^\perp \cap W_{m-1}$ . Let  $L'$  be any 2-space of  $W_{m-1}$  missing  $L^\perp$ . Then, the points  $t^\perp \cap L'$  with  $t \in L$  are all distinct. In particular, since  $|\mathbb{F}| \geq 3$  we can find a point  $z$  on  $L' - (x^\perp \cup y^\perp \cup p_m^\perp)$ . Clearly  $z$  has the required properties.

Having handled cases (1) and (2) we have shown that all points on all lines meeting  $p_m$  and  $W_{m-1} - \text{Rad}(W_{m-1}, \mathbf{s})$  except  $\text{Rad}(W, \mathbf{s})$  itself are in  $\langle p_1, p_2, \dots, p_m \rangle_{\Gamma_{\text{hyp}}}$ .

The only case left is  $m > 3$  even and  $y$  a point on the line  $L = p_m r$  where  $r = \text{Rad}(W_{m-1}, \mathbf{s})$ . Note that  $L^\perp \cap W_{m-1} = t^\perp \cap W_{m-1}$  for all  $t \in L - \{r\}$ . By definition of a THS,  $r \notin p_m^\perp$  so  $L$  is a line of  $\Gamma_{\text{hyp}}$ . Take any line  $L' \subseteq W_{m-1}$  on  $r$ . Since  $|\mathbb{F}| \geq 3$  we can find two points  $z, z' \in L' - \{r\} - p_m^\perp$ . Then  $z, p_m, z'$  is a THS for  $W' = \langle z, z', p_m \rangle_V$ . Note that  $y \in W'$  and  $y \neq \text{Rad}(W', \mathbf{s})$  so we can apply case  $m = 3$ . Thus, since  $z, z', p_m \in \langle p_1, p_2, \dots, p_m \rangle_{\Gamma_{\text{hyp}}}$  also  $y \in \langle p_1, p_2, \dots, p_m \rangle_{\Gamma_{\text{hyp}}}$ . Since  $y$  is allowed to equal  $r$ , we are done.  $\square$

**Definition 3.3** Let  $U$  be a vector space of dimension  $2l$  over a field  $\mathbb{F}$  endowed with a non-degenerate symplectic form  $\mathbf{s}(\cdot, \cdot)$ . A *hyperbolic  $k$ -path* is a set of points  $P - \{p_1, p_2, \dots, p_k\}$  in  $\Gamma_{\text{hyp}}(U)$  with the property that  $\dim(\langle p_1, p_2, \dots, p_k \rangle) = k$



and the geometry induced on  $P$  by  $\Gamma_{\text{hyp}}(U)$  is a  $k$ -path. A *hyperbolic  $k$ -cycle* is a hyperbolic  $(k - 1)$ -path together with a point that is collinear in  $\Gamma_{\text{hyp}}(U)$  to both endpoints of that path. A *hyperbolic  $2l$ -gon* for  $U$  is a set of points  $T = \{p_1, p_2, \dots, p_{2l}\}$  in  $\Gamma_{\text{hyp}}(U)$  with the property that  $\langle p_1, p_2, \dots, p_{2l} \rangle_U = U$  and such that the geometry induced on  $T$  by  $\Gamma_{\text{hyp}}(U)$  is a  $2l$ -gon. That is,  $p_i$  and  $p_j$  are collinear in  $\Gamma_{\text{hyp}}(U)$  if and only if  $|i - j| = 1 \pmod{2l}$ .

**Lemma 3.4** (a)  $V$  has a hyperbolic  $2n$ -gon,

(b) a hyperbolic  $2n$ -gon can be ordered as a tight hyperbolic sequence for  $V$ ,

(c) the points on a hyperbolic  $2n$ -gon generate  $\Gamma_{\text{hyp}}(V)$ .

**Proof** (a) Let  $\{e_i, f_i \mid i = 1, 2, \dots, n\}$  be a basis for  $V$  so that

$$\begin{aligned} \mathfrak{s}(e_i, f_j) &= \delta_{ij} \\ \mathfrak{s}(e_i, e_j) = \mathfrak{s}(f_i, f_j) &= 0 \end{aligned}$$

for all  $i, j \in \{1, 2, \dots, n\}$ . That is, it is a *hyperbolic basis*.

Let

$$\begin{aligned} p_{2j-1} &= \langle e_j \rangle & \forall j = 1, 2, \dots, n \\ p_{2j} &= \langle f_j - f_{j+1} \rangle & \forall j = 1, 2, \dots, n-1 \\ p_{2n} &= \langle cf_n - f_1 \rangle & \text{with } c \neq 0 \end{aligned}$$

In addition, we require that  $c \neq 1$  if  $n = 2$ .

One checks that  $\mathfrak{s}(p_i, p_j) = 0$  except when  $|i - j| \equiv 1 \pmod{2n}$ . Thus  $T = \{p_1, p_2, \dots, p_{2n}\}$  is a  $2n$ -gon in  $\Gamma_{\text{hyp}}$ . Clearly  $\langle T \rangle_V = \langle e_i, f_i \mid i = 1, 2, \dots, n \rangle_V = V$  so  $T$  is a hyperbolic  $2n$ -cycle, which is a hyperbolic  $2n$ -gon unless  $n = 2$  and  $c = 1$ . Note that in case  $n = 2$  we need to be able to choose  $c \in \mathbb{F} - \{0, 1\}$ . In our case this is possible since  $\mathbb{F} \neq \mathbb{F}_2$ .

(b) Given a hyperbolic  $2n$ -gon  $T = \{p_1, p_2, \dots, p_{2n}\}$ , let  $V_i = \langle p_1, p_2, \dots, p_i \rangle_V$ .

(THS1) This follows from the fact that  $\langle T \rangle_V = V$ .

(THS2) We show by induction on  $i = 2, 3, 4, \dots, 2n - 1$ , that  $p_{i+1}^\perp \cap \text{Rad}(V_i, \mathfrak{s}) = \{0\}$ . Consider the subspaces  $U = \langle p_1, p_3, \dots, p_{2k-1} \rangle_V$  and  $U' = \langle p_2, p_4, \dots, p_{2k} \rangle_V$  of  $V_{2k}$ . These are disjoint and totally isotropic. One can extend  $\{e_j\}_{j=1}^k$  to a hyperbolic basis for  $V_{2k}$  using vectors in  $U'$ . Thus,  $\dim(\text{Rad}(V_{2k})) = 0$ .

Now let  $1 \leq k < n$ . Then we see that  $p_{2k+1}^\perp \cap \text{Rad}(V_{2k}) = p_{2k+1}^\perp \cap \{0\} = \{0\}$ . Also,  $p_{2k+2}^\perp \cap \text{Rad}(V_{2k+1}) \subseteq \text{Rad}(V_{2k+2}) = \{0\}$ , showing that  $p_{2k+2}^\perp \cap \text{Rad}(V_{2k+1}) = \{0\}$  as well.

(c) This follows from (b) and Lemma 3.2.  $\square$

**Definition 3.5** The hyperbolic  $2n$ -cycle defined in Lemma 3.4 is called the *standard hyperbolic  $2n$ -cycle of type  $(n, c)$* . We call it the *standard hyperbolic  $2n$ -gon of type  $(n, c)$*  if it is a hyperbolic  $2n$ -gon, that is, if  $(n, c) \neq (2, 1)$ .

In the remainder of this section we will exploit the interaction between long-root subgroups, the hyperbolic geometry  $\Gamma_{\text{hyp}}$  and a certain graph on the set of hyperbolic  $2n$ -gons to obtain the generation tool presented in Proposition 3.20.

Recall that the *long-root group*  $U_p$  of a t.i. 1-space  $p$  of  $V$  is the transvection group with center  $p$  and axis  $p^\perp$ .

**Lemma 3.6** *Let  $p, q$ , and  $x$  denote t.i. 1-spaces in  $V$ .*

- (a) *For all  $p$ ,  $U_p$  is a subgroup of  $G = \text{Sp}(V)$  isomorphic to  $\mathbb{F}^+$ , the additive group of the field  $\mathbb{F}$ .*
- (b) *For any  $p$  and  $q$ , there are precisely two possibilities*
  - (h)  *$U_{p,q} = \langle U_p, U_q \rangle \cong \text{SL}_2(\mathbb{F})$ ,  $\langle p, q \rangle_V$  is a hyperbolic line,  $U_x \leq U_{p,q}$  if and only if  $x \in \langle p, q \rangle_V$ , any  $U_x$  is transitive on all points of  $\langle p, q \rangle_V - \{x\}$  and all long-root groups in  $U_{p,q} - U_x$  are conjugate under  $U_x$ , or*
  - (s)  *$U_p$  and  $U_q$  commute,  $\langle p, q \rangle_V$  is a totally isotropic line, and the only root groups contained in  $U_{p,q}$  are  $U_p$  and  $U_q$ .*

**Proof** This is essentially 12.1 of Aschbacher and Seitz [1]. □

We denote the element of  $U_p$  corresponding to  $t \in \mathbb{F}^+$  by  $U_p(t)$ .

**Lemma 3.7** *Let  $X$  be a set of points in  $\Gamma_{\text{hyp}}$  and let  $U_X = \langle U_x \mid x \in X \rangle_G$ . Then for every  $y \in \langle X \rangle_{\Gamma_{\text{hyp}}}$  we have  $U_y \leq U_X$  and there is  $u \in U_X$  and  $x \in X$  such that  $ux = y$ .*

**Proof** Consider the sequence  $X = X_0 \subseteq X_1 \subseteq \dots$  and let  $X_\infty = \bigcup_{i=0}^\infty X_i$ . Here  $X_{i+1}$  consists of all points in  $X_i$  and all points  $y$  on some line of  $\Gamma_{\text{hyp}}$  meeting  $X_i$  in at least two points. Then we have  $\langle X \rangle_{\Gamma_{\text{hyp}}} = X_\infty$ .

We now prove the following statement by induction on  $i$ .

For every  $y \in X_i$  we have  $U_y \leq U_X$  and there is  $u \in U_X$  and  $x \in X$  such that  $ux = y$ .

For  $i = 0$  take  $x = y$  and  $u = \text{id}$ . Now let  $i > 0$ . Choose  $y \in X_{i+1}$ . Then there exist  $y', y'' \in X_i$  such that  $y$  lies on the line  $\langle y', y'' \rangle_V$  of  $\Gamma_{\text{hyp}}$ . By assumption there are  $x', x'' \in X$  and  $u', u'' \in U_X$  with  $u'x' = y'$  and  $u''x'' = y''$ . Now by Lemma 3.6 case (h) we have  $U_y \leq \langle U_{y'}, U_{y''} \rangle_G \cong \text{SL}_2(\mathbb{F})$  and there exists  $v \in U_{y''}$  such that  $vy' = y$  and  $U_{y'}^{v^{-1}} = U_y$ . Let  $u = vu'$ . By induction  $U_{y'}, U_{y''} \leq U_X$  and so  $U_y = U_{y'}^{v^{-1}} \leq U_X$  and  $ux' = vu'x' = y$  where  $u = vu' \in U_X$ ,  $x' \in X$ , as desired. □

**Corollary 3.8** *Let  $T = \{p_1, p_2, \dots, p_{2n}\}$  be a hyperbolic  $2n$ -gon. Then  $U_T = \langle U_{p_i} \mid i = 1, 2, \dots, 2n \rangle$  is transitive on the points of  $\Gamma_{\text{hyp}}$  and contains  $U_p$  for every point  $p$ . Hence,  $U_T = \text{Sp}(V)$ .*

**Proof** By Lemma 3.4  $\langle T \rangle_{\Gamma_{\text{hyp}}} = \Gamma_{\text{hyp}}$  so the first statement follows from Lemma 3.7. It is well-known that  $\text{Sp}(V)$  is generated by its long-root groups and we find  $U_T = \text{Sp}(V)$ .  $\square$

**Definition 3.9** Let  $\mathcal{T}$  be the collection of all hyperbolic  $2n$ -gons in  $V$  and let  $\Theta = (\mathcal{T}, \sim)$  be the graph whose vertices are the elements of  $\mathcal{T}$  and in which  $T \sim T'$  if and only if there exists a point  $p \in T$  and an element  $u \in U_p$  such that  $uT = T'$ . For any  $T \in \mathcal{T}$ , let  $C(T)$  be the connected component of  $\Theta$  containing  $T$ .

**Lemma 3.10** *Let  $T$  be a hyperbolic  $2n$ -gon. Then,*

- (a)  $U_T = \text{Sp}(V)$ ,
- (b)  $\text{Sp}(V) \leq \text{Aut}(\Theta)$ ,
- (c) *In fact,  $\text{Sp}(V) \leq \text{Stab}_{\text{Aut}(\Theta)}(C(T))$ .*
- (d)  $\text{Sp}(V)$  *is transitive on*  $C(T)$ .
- (e) *The orbits of  $\text{Sp}(V)$  on  $\Theta$  are the connected components  $C(T)$ ,  $T \in \mathcal{T}$ .*

**Proof** (a) This is part of Corollary 3.8.

(b) First note that  $U_T \leq \text{Sp}(V)(\mathbb{F}) \leq \text{Aut}(\Gamma_{\text{hyp}})$  so  $U_T$  preserves  $\mathcal{T}$  as a set. Let  $T', T'' \in \mathcal{T}$  be adjacent in  $\Theta$ . That is, there is  $p' \in T'$  and  $u' \in U_{p'}$  such that  $u'T' = T''$ . Now let  $p \in T$  and  $u \in U_p$ . Then  $uT'' = uu'T' = uu'u^{-1}uT'$ , and  $uu'u^{-1} \in U_{p'}^{u^{-1}} = U_{up'}$  where  $up' \in uT'$ . Thus again  $uT'$  and  $uT''$  are adjacent in  $\Theta$  and it follows that  $U_p \leq \text{Aut}(\Theta)$ . Hence  $U_T \leq \text{Aut}(\Theta)$ , as desired.

(c) Let  $p \in T$  and  $u \in U_p$ . Then by (a)  $uC(T) = C(T')$ , where  $T' = uT$ . By definition of  $\Theta$ , though,  $T' \sim T$  so that  $C(T') = C(T)$ .

(d) Any two hyperbolic  $2n$ -gons  $T$  and  $T'$  are adjacent whenever there is an element  $u \in U_p$  for some point  $p$  on  $T$  such that  $uT = T'$ . Therefore  $\text{Sp}(V)$  is transitive on  $C(T)$ .

(e) This is immediate from (c) and (d).  $\square$

We can in fact label and count the orbits of  $\text{Sp}(V)$  on  $\Theta$ .

**Definition 3.11** An *edge marked hyperbolic  $2n$ -cycle* is a pair  $(T, e)$ , where  $T$  is a hyperbolic  $2n$ -cycle and  $e$  is a directed edge on  $T$  that is the first edge of a hyperbolic

$(2n - 1)$ -path in  $T$ . In particular, an *edge marked hyperbolic  $2n$ -gon*  $(T, e)$  is an edge marked hyperbolic  $2n$ -cycle, where  $T$  is a hyperbolic  $2n$ -gon. Note that in this case, we can take any edge for  $e$ . We calculate the *class number* of  $(T, e)$  as follows. We number the points of  $T$  as  $p_1, p_2, \dots, p_{2n}$  such that  $p_1, p_2, \dots, p_{2n-1}$  is a hyperbolic  $(2n - 1)$ -path and  $e = p_1p_2$ . The *class number* of  $(T, e)$  is

$$c(T, e) = \frac{\prod_{i=1}^n \mathbf{s}(u_{2i-1}, u_{2i})}{\prod_{i=1}^n \mathbf{s}(u_{2i}, u_{2i+1})}, \quad (3.1)$$

where indices are taken modulo  $2n$  and, for all  $j = 1, 2, \dots, 2n$ ,  $u_j \in p_j$  is an arbitrary non-zero vector. In the case  $n = 2$ , even if  $p_4 = p_2$ , we do not require that  $u_4 = u_2$ .

**Lemma 3.12** (a) *With the above notation,  $c(T, e)$  does not depend on the choice of  $\{u_j\}_{j=1}^{2n}$ .*

(b) *Now let  $T$  be a hyperbolic  $2n$ -gon and let  $f$  be another directed edge of  $T$ . Then,*

$$c(T, f) = \begin{cases} c(T, e) & \text{if } f = p_{2i-1}p_{2i} \quad \text{for some } 1 \leq i \leq n, \\ c(T, e) & \text{if } f = p_{2i}p_{2i-1} \quad \text{for some } 1 \leq i \leq n, \\ c(T, e)^{-1} & \text{else.} \end{cases}$$

**Proof** (a) Any other non-zero vector in  $p_j$  looks like  $\lambda u_j$  for some  $\lambda \in \mathbb{F}$ . Since  $\mathbf{s}$  is bilinear, the value of 3.1, in which  $u_j$  appears once above and below, is unchanged.

(b) If  $f = p_{2i-1}p_{2i}$ , then all indices  $j$  above and below in 3.1 are replaced by  $j + 2i - 2$ . This clearly leaves the value unaffected. If  $f = p_{2i}p_{2i-1}$  then effectively both the numerator and denominator of 3.1 are multiplied by  $-1^n$ . This clearly does not affect the value. In all other cases the numerator and denominator of 3.1 are interchanged.  $\square$

**Definition 3.13** Let  $T$  be a hyperbolic  $2n$ -gon. The *class number of  $T$*  is  $c(T) = \{c(T, e), c(T, e)^{-1}\}$ , where  $e$  is some directed edge on  $T$ . By Lemma 3.12 this is well-defined.

**Example 3.14** Let  $T$  be the standard hyperbolic  $2n$ -cycle of type  $(n, c)$  and let  $e = p_1p_2$ . Then,  $c(T, e) = c$ .

**Definition 3.15** An isomorphism of edge-marked hyperbolic  $2n$ -cycles  $(T_i, e_i)$ ,  $i = 1, 2$ , is a graph isomorphism  $\varphi: T_1 \rightarrow T_2$  such that  $\varphi(e_1) = e_2$  as a directed edge. We call  $(T_1, e_1)$  and  $(T_2, e_2)$  *isometric* if there is some element  $g \in \text{Sp}(V)$  that

induces an isomorphism between  $(T_1, e_1)$  and  $(T_2, e_2)$ . We write  $(T_1, e_1) \equiv (T_2, e_2)$ . We call  $T_1$  and  $T_2$  *isometric* if  $(T_1, e_1)$  and  $(T_2, e_2)$  are isometric for some directed edges  $e_1$  and  $e_2$ . We write  $T_1 \equiv T_2$ . The same notation applies to edge-marked hyperbolic  $2n$ -gons.

**Proposition 3.16** *For  $i = 1, 2$ , let  $(T_i, e_i)$  be an edge-marked hyperbolic  $2n$ -cycle. Then,*

(a)  $(T_1, e_1) \equiv (T_2, e_2)$  if and only if  $c(T_1, e_1) = c(T_2, e_2)$ ,

Now let  $T_1$  and  $T_2$  be hyperbolic  $2n$ -gons. Then,

(b)  $T_1 \equiv T_2$  if and only if  $c(T_1) = c(T_2)$ ,

(c)

$$\text{Stab}_{\text{Sp}(V)}(T) \cong \begin{cases} D_{2n} & \text{if } c(T) \neq \{1\} \\ D_{4n} & \text{if } c(T) = \{1\} \end{cases}$$

**Proof** (a) The “only if” part is obvious since if there is  $g \in \text{Sp}(V)$  sending  $(T_1, e_1)$  to  $(T_2, e_2)$ , then that  $g$  preserves  $\mathfrak{s}$  and hence the value of 3.1.

Now let  $(T_1, e_1)$  and  $(T_2, e_2)$  be any two edge-marked hyperbolic  $2n$ -gons. Number the points cyclically

$$\begin{aligned} T_1 &= \{p_1, p_2, \dots, p_{2n}\}, \\ T_2 &= \{q_1, q_2, \dots, q_{2n}\}, \end{aligned}$$

such that  $e_1 = p_1 p_2$  and  $e_2 = q_1 q_2$ . Let

$$\begin{aligned} A_1 &= \langle p_1, p_3, \dots, p_{2n-1} \rangle, \\ A_2 &= \langle q_1, q_3, \dots, q_{2n-1} \rangle, \\ B_1 &= \langle p_2, p_4, \dots, p_{2n} \rangle, \\ B_2 &= \langle q_2, q_4, \dots, q_{2n} \rangle. \end{aligned}$$

Then  $V = A_1 \oplus B_1 = A_2 \oplus B_2$  where  $A_i$  and  $B_i$  are disjoint maximal totally isotropic subspaces for  $i = 1, 2$ . By choosing hyperbolic bases from  $A_1 \cup B_1$  and  $A_2 \cup B_2$  we can see that there exists an element  $g \in G$  with  $gA_1 = A_2$  and  $gB_1 = B_2$ . Thus assume that  $A_1 = A_2$  and  $B_1 = B_2$ . Then  $\text{Stab}_{\text{Sp}(V)}(A, B) \cong \text{GL}(A)$ . Thus it contains an element  $h$  such that  $hp_{2i-1} = q_{2i-1}$  for  $i = 1, 2, \dots, n$ . Thus assume in addition that  $p_{2i-1} = q_{2i-1}$  for  $i = 1, 2, \dots, n$ . Note that  $p_{2i} = B \cap \{r_{2i}, p_1, \dots, p_{2\hat{i}-1}, p_{2\hat{i}+1}, p_{2n-1}\}^\perp$ , where indices are taken modulo  $2n$  and  $r_{2i}$  is a unique point on  $\langle p_{2i-1}, p_{2i+1} \rangle - \{p_{2i-1}, p_{2i+1}\}$ .

Then  $\text{Stab}_{\text{Sp}(V)}(p_1, p_3, \dots, p_{2n-1}, B) \cong \text{GL}_1(\mathbb{F})^n$  is simultaneously transitive on the points of  $\langle p_{2i-1}, p_{2i+1} \rangle - \{p_{2i-1}, p_{2i+1}\}$  for all  $i = 1, 2, \dots, n-1$ . The kernel of this

action is the center  $Z(\mathrm{GL}(A))$ . Thus modulo the kernel of the action of  $\mathrm{Sp}(V)$  on  $\mathrm{PG}(V)$ , there is a unique element  $g \in \mathrm{Sp}(V)$  sending  $p_j$  to  $q_j$  for  $j = 1, 2, \dots, 2n-1$ .

We now claim that  $gp_{2n} = q_{2n}$  if and only if  $c(T_1, e_1) = c(T_2, e_2)$ . To this end choose nonzero  $u_j \in p_j$  and  $v_j \in q_j$  for all  $j = 1, 2, \dots, 2n$ . From the preceding discussion and Lemma 3.12 it is clear that we may assume that  $u_j = v_j$  for all  $i = 1, 2, \dots, 2n-1$ . Moreover, replacing  $v_{2n}$  by  $\lambda v_{2n}$  we may also assume that  $\mathfrak{s}(u_{2n-1}, u_{2n}) = \mathfrak{s}(v_{2n-1}, v_{2n})$ . We now show that  $c(T_1, e_1) = c(T_2, e_2)$  if and only if  $\mathfrak{s}(u_{2n}, u_1) = \mathfrak{s}(v_{2n}, v_1)$  if and only if  $u_{2n} = v_{2n}$ . For the first equivalence, we note that we can choose  $u_1, u_2, \dots, u_{2n}$  such that  $\mathfrak{s}(u_j, u_{j+1}) = 1$  for all  $j = 1, 2, \dots, 2n-1$ . Clearly, then  $c(T_1, e_1) = \mathfrak{s}(u_{2n}, u_1)^{-1}$  and the first equivalence follows. For the second equivalence, we note that  $u_{2n}$  and  $v_{2n}$  belong to  $X = \{p_3, \dots, p_{2n-1}\}^\perp \cap B$ , which has dimension 2. The equations  $\mathfrak{s}(u_{2n-1}, u_{2n}) = \mathfrak{s}(v_{2n-1}, v_{2n})$  and  $\mathfrak{s}(u_{2n}, u_1) = \mathfrak{s}(v_{2n}, v_1)$  determine two independent affine hyperplanes of  $X$  that intersect in a single vector. Hence  $u_{2n} = v_{2n}$ .

(b) Clearly  $T_1 \equiv T_2$  if and only if  $(T_1, f_1) \equiv (T_2, f_2)$  for some directed edges  $f_1, f_2$ . By (a) this happens precisely if  $c(T_1, f_1) = c(T_2, f_2)$  for some directed edges  $f_1, f_2$ . Given preselected edges  $e_1, e_2$ , by Lemma 3.12 this happens precisely if  $c(T_1, e_1) \in \{c(T_2, e_2), c(T_2, e_2)^{-1}\}$ , that is if  $c(T_1) = c(T_2)$ .

(c) Fix  $e \in T$  and let  $g \in \mathrm{Stab}_{\mathrm{Sp}(V)}(T)$ . Let  $g(e) = f$ . Then we have  $c(T, e) = c(T, f)$ . If  $c(T, e) \neq 1$ , then by Lemma 3.12, there are exactly  $2n$  such directed edges  $f$  lying on  $n$  undirected edges. If  $c(T, e) = 1$ , then  $c(T, e)^{-1} = c(T, e)$  and so there are exactly  $4n$  such directed edges lying on  $2n$  undirected edges. The isomorphisms with the dihedral groups are obvious.  $\square$

**Corollary 3.17** *Hyperbolic  $2n$ -gons of class number  $c \in \mathbb{F}^*$  exist except if  $(n, c) = (2, 1)$ .*

**Proof** If  $n \geq 3$ , then for any  $c \in \mathbb{F}^*$ , the standard hyperbolic  $2n$ -cycle of type  $(n, c)$  is in fact a hyperbolic  $2n$ -gon. Now let  $n = 2$  and let  $T$  be a hyperbolic  $2n$ -gon. By Proposition 3.16 part (a) such a hyperbolic  $2n$ -gon is isometric to the standard  $2n$ -cycle of type  $(n, c)$ . However, this is a hyperbolic  $2n$ -gon if and only if  $c \neq 1$ .  $\square$

For any  $c \in \mathbb{F}^*$ , let  $\Theta_c = \{T \in \Theta, | c(T) = \{c, c^{-1}\}\}$ .

**Corollary 3.18** (a) *The orbits of  $\mathrm{Sp}(V)$  on  $\Theta$  are of the form*

$$C(T(n, c)) = \Theta_c = \Theta_{c^{-1}} = C(T(n, c^{-1})),$$

*where  $c$  runs over  $\mathbb{F}^* - \{1\}$  if  $n = 2$  and over  $\mathbb{F}^*$  if  $n \geq 3$ .*

(b) In particular, if  $\mathbb{F} = \mathbb{F}_q$ , then there are  $N$  orbits, where

$$N = \begin{cases} \frac{q-3}{2} + 1 & \text{if } n = 2, q \text{ odd,} \\ \frac{q-2}{2} & \text{if } n = 2, q \text{ even,} \\ \frac{q-3}{2} + 2 & \text{if } n \geq 3, q \text{ odd,} \\ \frac{q-2}{2} + 1 & \text{if } n \geq 3, q \text{ even.} \end{cases}$$

**Proof** (a) By Proposition 3.16 the sets  $\Theta_c$  are the orbits of  $\text{Sp}(V)$  on  $\Theta$ . By Lemma 3.10 every orbit is a connected component  $C(T)$  for some  $T \in \mathcal{T}$ . Thus  $\Theta_c = C(T)$  for some hyperbolic  $2n$ -gon  $T$  with  $c(T) = c$ . By Corollary 3.17,  $c \in \mathbb{F}^*$  can be anything so long as  $(n, c) \neq (2, 1)$ . Clearly we may choose  $T = T(n, c)$ , the standard hyperbolic  $2n$ -gon of type  $(n, c)$ . By definition  $\Theta_c = \Theta_{c^{-1}}$  and (a) follows.

(b) We count the sets  $\{(n, c), (n, c^{-1})\}$  such that there exists a hyperbolic  $2n$ -gon of type  $(n, c)$ . Noting that the only  $c \in \mathbb{F}^*$  such that  $c = c^{-1}$  are  $c = \pm 1$  and that  $(n, c)$  can be any pair except  $(2, 1)$  the number  $N$  follows.  $\square$

We now focus again on the points of  $\Gamma_{\text{hyp}}$ .

**Lemma 3.19** *Given a hyperbolic  $2n$ -gon  $T$ , every point of  $\Gamma_{\text{hyp}}$  belongs to some hyperbolic  $2n$ -gon in  $C(T)$ .*

**Proof** Let  $y$  be a point of  $\Gamma_{\text{hyp}}$ . Then by Lemma 3.7 there exists  $u \in U_T$  and a point  $x \in T$  such that  $ux = y$ . Clearly  $y$  belongs to the hyperbolic  $2n$ -gon  $uT$  and by Lemma 3.10  $uT \in C(T)$ .  $\square$

**Proposition 3.20** *Let  $V$  be a vector space of dimension  $2n$  over a field  $\mathbb{F}$  of odd characteristic endowed with a non-degenerate symplectic form. Suppose  $X$  is a set of points in the hyperbolic geometry  $\Gamma_{\text{hyp}}(V)$  such that*

- (1)  $X$  contains a hyperbolic  $2n$ -gon  $T$ ,
- (2) for any hyperbolic  $2n$ -gon  $T' \subseteq X$  and any hyperbolic line  $L$  with  $|L \cap T'| \geq 2$  we have  $p \in X$  for all  $p \in L$ ,

then,  $X = \Gamma_{\text{hyp}}(V)$ .

**Proof** Let  $T' \subseteq X$  and let  $T''$  be adjacent to  $T'$  in  $\Theta$ . That is, there is  $x \in T'$  and  $u \in U_x$  such that  $uT' = T''$ . We claim that  $T'' \subseteq X$ . Namely, let  $L$  and  $L'$  be the two lines on  $x$  meeting  $T'$  in points  $y, y' \in T'$  respectively. Then  $z = uy \in L$  and  $z' = uy' \in L'$  by Lemma 3.6 case (h). By assumption (2) also  $z, z' \in X$ . Moreover, since  $x \perp (T' - \{y, y'\})$  it follows from Lemma 3.6 case (s), that  $ux' = x'$  for all points in  $T' - \{y, y'\}$ . Hence  $T'' \subseteq X$ . Thus we see that all hyperbolic  $2n$ -gons in  $C(T)$  are contained in  $X$ . By Lemma 3.19 we have  $X = \Gamma_{\text{hyp}}(V)$ .  $\square$

We will apply Proposition 3.20 in the proof of Proposition 6.3, where  $X$  is a set of  $\mathcal{S}$ -full 1-spaces with respect to some set of points  $\mathcal{S}$  in  $\Gamma_k$ . We recall the definition of  $\mathcal{S}$ -full.

**Definition 3.21** Let  $V$  and  $\mathfrak{s}$  be as before and let  $\mathcal{S} \subseteq \mathcal{P}_k$  be some Point set of the symplectic  $k$ -grassmannian  $\Gamma_k^{\mathfrak{s}}(V)$ . For any  $l$ -space  $Y \subseteq V$  that is t.i. w.r.t.  $\mathfrak{s}$ , let  $\Gamma_k^{\mathfrak{s}}(V; Y) = (\mathcal{P}_k(V; Y), \mathcal{L}_k(V; Y))$  be the subgeometry of  $\Gamma_k^{\mathfrak{s}}(V)$  of all Points and Lines incident to  $Y$ . We call  $Y$   $\mathcal{S}$ -full if

$$\mathcal{P}_k(V; Y) \subseteq \langle \mathcal{S} \rangle_{\Gamma_k(V)}.$$

As usual, we'll drop  $\mathfrak{s}$  and  $V$  from the notation, if these are clear from the context. If  $\{Y_\alpha\}_{\alpha \in A}$  is a collection of t.i. subspaces, then we interpret  $\Gamma_k^{\mathfrak{s}}(V; \{Y_\alpha\}_{\alpha \in A})$  as  $\Gamma_k^{\mathfrak{s}}(V; \langle Y_\alpha \mid \alpha \in A \rangle_V)$ . This is done typically if  $\{Y_\alpha\}_{\alpha \in A}$  is a set of t.i. points on some hyperbolic  $2n$ -gon.

We will apply Proposition 3.20 in combination with the inductive methods given by Lemmas 3.24 and 3.25.

**Definition 3.22** Given a hyperbolic  $2n$ -gon  $T$  and a point  $p$  we define a set  $T^p$  as follows. Let  $T = \{p_1, p_2, \dots, p_{2n}\}$  and without loss of generality assume  $p = p_1$ . Take  $q$  to be the unique point on  $p_1^\perp \cap \langle p_{2n}, p_2 \rangle_V$ . Then  $T^p = \{q, p_3, p_4, \dots, p_{2n-1}\}$ . If the points are labeled  $T = \{p_1, p_2, \dots, p_{2n}\}$  and  $p = p_i$  we will write  $T^i$  and label  $q = q_i$ . Note that  $T^p$  is a hyperbolic  $2(n-1)$ -cycle.

**Lemma 3.23** *Let  $(T, e)$  be an edge-marked hyperbolic  $2n$ -gon. Suppose that  $p$  is a point of  $T$  such that  $e$  is an edge of  $T^p$ . Then,  $c(T, e) = c(T^p, e)$ . In particular  $c(T) = c(T^p)$ .*

**Proof** (a) We number the points of  $T$  as  $p_1, p_2, \dots, p_{2n}$  such that  $p_i$  is collinear to  $p_j$  for all  $1 \leq i, j \leq 2n$ , such that  $|i - j| \equiv 1 \pmod{2n}$  and such that  $e = p_1 p_2$ . We will pick  $p = p_4$ , the other cases are completely similar. Now  $T^p$  has points  $p_1, p_2, q_4, p_6, \dots, p_{2n}$ . Choose non-zero  $u_j \in p_j$  for all  $j = 1, 2, \dots, 2n$ . Note that  $q_4$  is the unique point on  $\langle p_3, p_5 \rangle - \{p_3, p_5\}$  that is orthogonal to  $p_4$ . Thus  $q_4$  contains the non-zero vector  $v_4 = u_3 \mathfrak{s}(u_4, u_5) - u_5 \mathfrak{s}(u_4, u_3)$  since this vector is clearly orthogonal to  $u_4 \in p_4$  and belongs to  $\langle p_3, p_5 \rangle$ . Now

$$\frac{c(T, e)}{c(T^p, e)} = \frac{\mathfrak{s}(u_3, u_4) \mathfrak{s}(u_5, u_6)}{\mathfrak{s}(u_2, u_3) \mathfrak{s}(u_4, u_5)} \Big/ \frac{\mathfrak{s}(v_4, u_6)}{\mathfrak{s}(u_2, v_4)}.$$



However

$$\begin{aligned} \mathfrak{s}(v_4, u_6) &= \mathfrak{s}(u_3\mathfrak{s}(u_4, u_5) - u_5\mathfrak{s}(u_4, u_3), u_6) \\ &= \mathfrak{s}(u_3, u_6)\mathfrak{s}(u_4, u_5) - \mathfrak{s}(u_5, u_6)\mathfrak{s}(u_4, u_3) \\ &= \mathfrak{s}(u_3, u_4)\mathfrak{s}(u_5, u_6) \end{aligned}$$

and

$$\begin{aligned} \mathfrak{s}(u_2, v_4) &= \mathfrak{s}(u_2, u_3\mathfrak{s}(u_4, u_5) - u_5\mathfrak{s}(u_4, u_3)) \\ &= \mathfrak{s}(u_2, u_3)\mathfrak{s}(u_4, u_5) - \mathfrak{s}(u_2, u_5)\mathfrak{s}(u_4, u_3) \\ &= \mathfrak{s}(u_2, u_3)\mathfrak{s}(u_4, u_5). \end{aligned}$$

so the quotient is 1 and we're done.  $\square$

**Lemma 3.24** *Let  $n \geq 3$  and let  $T$  be a hyperbolic  $2n$ -gon with  $c(T) \neq 1$ . Then, for any point  $p \in T$ , the set  $T^p$  is a hyperbolic  $2(n-1)$ -gon for  $p^\perp/p$ .*

**Proof** We already saw that  $T^p$  is a hyperbolic  $2(n-1)$ -cycle. By Corollary 3.17 therefore it is a hyperbolic  $2n$ -gon except if  $n-1 = 2$  and  $c(T^p, e) = 1$  for some edge  $e$  on  $T^p$ . By part (a) and the assumption on  $c(T)$  this exception does not occur.  $\square$

Lemmas 3.24 and 3.25 play a role in the inductive definition of the generating set  $\mathcal{S}_{n,k}$ .

**Lemma 3.25** *Let  $Y$  be a t.i.  $l$ -space and  $X$  a t.i.  $m$ -space of  $V$  such that  $l, m < k$ . Then,*

(1) *there is an isomorphism*

$$\begin{array}{lll} \Gamma_k^{\mathfrak{s}}(V; Y) & \rightarrow & \Gamma_{(k-l)}^{\mathfrak{s}'}((Y^\perp \cap V)/Y) \\ K & \mapsto & K/Y \quad \text{for any Point } K \\ (D, U) & \mapsto & (D/Y, U/Y) \quad \text{for any Line } (D, U), \end{array}$$

where  $\mathfrak{s}'$  is the form induced by  $\mathfrak{s}$  on  $V/Y$ , and

(2) *if  $Y \leq X$ , the isomorphism in (1) restricts to an isomorphism*

$$\Gamma_k^{\mathfrak{s}}(V; X) \rightarrow \Gamma_{(k-l)}^{\mathfrak{s}'}(V/Y; X/Y).$$

**Proof** This is a direct consequence of the quotient properties of polar spaces.  $\square$

## 4 Definition of the generating set $\mathcal{S}_{n,k}$ .

Given a vector space  $V$  of dimension  $2n$  (with  $n \geq 2$ ) over a field  $\mathbb{F}$  endowed with a non-degenerate symplectic form  $\mathfrak{s}$ . For  $1 \leq k \leq n$  and any hyperbolic  $2n$ -gon  $T$  of  $V$  with  $c(T) \neq 1$  we define a set  $\mathcal{S}_{n,k}(T, V)$  of points of  $\Gamma_k^{\mathfrak{s}}(V)$ . In case  $T$  or  $V$  are clear from the context we'll drop them from the notation.

Let us label  $T = \{p_1, p_2, \dots, p_{2n}\}$ . We call a set  $I \subseteq \mathcal{P}_1$  *totally isotropic* (t.i.) if  $\langle I \rangle_V$  is totally isotropic. Given a set  $\mathcal{S}$  of points of  $\Gamma_k$  and any  $I \subseteq T$  we let

$$\begin{aligned}\mathcal{S}_I &= \{K \in \mathcal{S} \mid K \cap T = I\} \\ \overline{\mathcal{S}}_I &= \bigsqcup_{I \subseteq J \subseteq T} \mathcal{S}_J.\end{aligned}$$

We note that  $\mathcal{S}_I = \overline{\mathcal{S}}_I = \emptyset$  if  $I$  is not totally isotropic.

**Lemma 4.1**

$$\begin{aligned}\mathcal{S} &= \bigsqcup_{I \subseteq T, t.i.} \mathcal{S}_I \\ \overline{\mathcal{S}}_I &= \bigsqcup_{I \subseteq J \subseteq T, t.i.} \mathcal{S}_J = \{K \in \mathcal{S} \mid K \supseteq I\}\end{aligned}$$

□

We will now define  $\mathcal{S}_{n,k}(T, V)$  by induction on  $k$ . For  $n \geq 1$  and  $k = 1$  define:

$$\mathcal{S}_{n,1}(T, V) = T.$$

In the sequel we'll write  $\mathcal{S}_{n,k}$  for  $\mathcal{S}_{n,k}(T, V)$ . Now let  $k \geq 2$  and, by induction, assume that, for  $i = 1, 2, \dots, 2n$ , we have already defined a set

$$\mathcal{S}_{n-1,k-1}(T^i, p_i^\perp/p_i),$$

where  $T^i = (T \cap p_i^\perp) \cup \{q_i\}$  is as in Definition 3.22. Note that by Lemmas 3.23 and 3.24 and the fact that  $c(T) \neq 1$ , the set  $T^i$  is a hyperbolic  $2(n-1)$ -gon with  $c(T^i) \neq 1$ . This set induces a subset of  $\Gamma_k$  defined as follows.

$$\widehat{\mathcal{S}}_{n,k}^{p_i}(T, V) = \{\langle p_i, K' \rangle_V \mid K' \in \mathcal{S}_{n-1,k-1}(T^i, p_i^\perp/p_i)\}.$$

In the sequel we'll write  $\widehat{\mathcal{S}}_{n,k}^{p_i}$  for  $\widehat{\mathcal{S}}_{n,k}^{p_i}(T, V)$  and will replace  $p_i$  by  $i$ . By Lemma 3.25 with  $Y = p_i$ , if  $\mathcal{S}_{n-1,k-1}(T^i, p_i^\perp/p_i)$  generates  $\Gamma_{k-1}(p_i^\perp/p_i)$ , then the set  $\widehat{\mathcal{S}}_{n,k}^{p_i}$  generates  $\Gamma_k(V; p_i)$ . In that case, if  $\widehat{\mathcal{S}}_{n,k}^{p_i} \subseteq \mathcal{S}_{n,k}$ , then  $p_i$  is  $\mathcal{S}_{n,k}$ -full. From Proposition 6.3 one can conclude that the set  $\bigcup_{i=1}^{2n} \widehat{\mathcal{S}}_{n,k}^{p_i}$  in fact generates  $\Gamma_k$ , but it is not at all minimal.

From the same proposition it follows that, given any t.i. subset  $I \subseteq T$ , every set  $\widehat{\mathcal{S}}_{n,k}^i$  with  $p_i \in I$  in fact contains a generating set for  $\Gamma_k(V; \langle I \rangle_V)$ . To address this redundancy we use the notation introduced for Lemma 4.1. One verifies that, for  $p_i \in I$ , we have

$$\begin{aligned} \widehat{\mathcal{S}}_{n,k,I}^i &= \{ \langle p_i, K' \rangle_V \mid K' \in \mathcal{S}_{n-1,k-1,I-\{p_i\}}(T^i, p_i^\perp/p_i) \} \\ &\quad \uplus \{ \langle p_i, K' \rangle_V \mid K' \in \mathcal{S}_{n-1,k-1,(I-\{p_i\}) \cup \{q_i\}}(T^i, p_i^\perp/p_i) \}, \end{aligned}$$

where  $I - \{p_i\} \subseteq T^i - \{q_i\} \subseteq T$  is t.i. and  $q_i$  is the point of Definition 3.22. We recall that if  $(I - \{p_i\}) \cup \{q_i\}$  is not a t.i. set, then  $\mathcal{S}_{n-1,k-1,(I-\{p_i\}) \cup \{q_i\}} = \emptyset$ .

**Note 4.2** Note that  $\widehat{\mathcal{S}}_{n,k,I}^i$  is mapped bijectively onto  $\mathcal{S}_{n-1,k-1,I-\{p_i\}}(T^i, p_i^\perp/p_i) \cup \mathcal{S}_{n-1,k-1,(I-\{p_i\}) \cup \{q_i\}}(T^i, p_i^\perp/p_i)$  under the isomorphism  $\Gamma_k(p_i) \cong \Gamma_{k-1}(p_i^\perp/p_i)$ .

Abusing notation slightly, for  $I \subseteq T$ , let  $\min I$  be the minimal element in  $\{i \mid p_i \in I\}$ . Our proposed generating set for  $\Gamma_k$  is defined as follows. We set

$$\mathcal{S}_{n,k} = \begin{cases} T & \text{if } k = 1 \\ \left( \bigoplus_{\emptyset \subsetneq I \subseteq T \text{ t.i. and } |I| \leq k} \widehat{\mathcal{S}}_{n,k,I}^{\min I} \right) \setminus \{L\} & \text{if } k = 2 \\ \bigoplus_{\emptyset \subsetneq I \subseteq T \text{ t.i. and } |I| \leq k} \widehat{\mathcal{S}}_{n,k,I}^{\min I} & \text{if } k \geq 3 \end{cases}$$

with the following stipulation

( $\dagger$ ) In case  $k = 2$ ,  $L$  is any one element from

$$\bigoplus_{\emptyset \subsetneq I \subseteq T \text{ t.i. and } |I| \leq 2} \widehat{\mathcal{S}}_{n,2,I}^{\min I}$$

meeting  $T$  in a single point  $p$ , that is,  $\{L\} = \widehat{\mathcal{S}}_{n,2,\{p_l\}}^l$  for some fixed  $l \in [2n]$ . We'll call  $p$  the *redundancy point*.

In case  $k = 3$ , for any  $i \in [2n]$ , in creating  $\mathcal{S}_{n,k,\{p_i\}}$  from  $\mathcal{S}_{n-1,k-1}(T^i, p_i^\perp/p_i)$ , the redundancy point is chosen to be  $q_i \in T^i - T$  (see Definition 3.22).

For any  $i \in [2n]$ , we have  $\widehat{\mathcal{S}}_{n,2,\{p_i\}}^i = \{p_i q_i\}$  and  $\widehat{\mathcal{S}}_{n,2,\{p_i, p_j\}}^i = \{p_i p_j\}$ . As a consequence of Stipulation ( $\dagger$ ) therefore,

$$|\mathcal{S}_{n,2,\{p_i\}}| = \begin{cases} 0 & \text{if } p_i \text{ is the redundancy point} \\ 1 & \text{else.} \end{cases}$$

Also, any element from  $\mathcal{S}_{n,3,\{p_i\}}$  meets  $T$  in at least two points.

Other elementary properties of the sets  $\mathcal{S}_{n,k}$  are listed in the next lemma. The significance of Stipulation ( $\dagger$ ) is explained by part (3).

**Lemma 4.3** For  $n \geq 2$  we have

- (1a)  $\mathcal{S}_{n,k,\emptyset} = \emptyset$  for all  $k \geq 1$ ,
- (1b)  $\mathcal{S}_{n,1,\{p_i\}} = \{p_i\}$  for all  $i = 1, 2, \dots, 2n$ ,
- (2a)  $\mathcal{S}_{n,2,\{p_i\}} = \{\langle p_i, q_i \rangle_V\}$  for all  $i \in [2n] \setminus \{l\}$ , and  $\mathcal{S}_{n,2,\{p_l\}} = \emptyset$ , where  $p_l$  is the redundancy point,
- (2b)  $\mathcal{S}_{n,2,\{p_i, p_j\}} = \{\langle p_i, p_j \rangle_V\}$ , for all t.i.  $I = \{p_i, p_j\}$ .
- (3)  $\mathcal{S}_{n,k,I} = \emptyset$  for all t.i.  $I \subseteq T$  with  $|I| < 2$  and all  $k \geq 3$ .

Part (1a) says that all elements of  $\mathcal{S}_{n,k}$  meet  $T$ , and by part (3) if  $k \geq 3$ , then they meet  $T$  in at least two points.

**Proof** (1a): For  $k = 1$  this is because  $\mathcal{S}_{n,1} = T$ . For  $k \geq 2$  this is because, for all  $i = 1, 2, \dots, 2$  and all  $K \in \widehat{\mathcal{S}}_{n,k}^i$  we have  $p_i \in K$ .

(1b,2a,2b): This follows immediately from the definitions.

(3): By part (1a), we only need to show that  $\mathcal{S}_{n,k,I} = \emptyset$  for  $k \geq 3$  and  $|I| = 1$ . Let  $p_i \in T$ , for some  $i = 1, 2, \dots, 2n$  and let  $I = \{p_i\}$  so that  $I - \{p_i\} = \emptyset$ . Then, by definition  $\widehat{\mathcal{S}}_{n,k,I}^i = \emptyset$  if and only if

$$\mathcal{S}_{n-1,k-1,\emptyset}(T^i, p_i^\perp/p_i) = \emptyset = \mathcal{S}_{n-1,k-1,\{q_i\}}(T^i, p_i^\perp/p_i).$$

By (1a), the first equality always holds.

For  $k = 3$ , also the second equality holds by Stipulation (†) and for  $k > 3$  the equality follows by induction on  $k$ .  $\square$

**Example 4.4** Let  $n = 4$  and let  $|\mathbb{F}| \geq 3$  of characteristic not 2. Then for  $k = 1, 2, 3$  we describe the set  $\mathcal{S}_{n,k}$  explicitly. First let  $T = T(4, -1) = \{p_1, p_2, p_3, p_4, p_5, p_6, p_7, p_8\}$  be the standard hyperbolic 8-gon with  $c = -1$ . On the standard hyperbolic basis this means:

$$\begin{aligned} p_1 &= \langle e_1 \rangle, & p_3 &= \langle e_2 \rangle, & p_5 &= \langle e_3 \rangle, & p_7 &= \langle e_4 \rangle, \\ p_2 &= \langle f_1 - f_2 \rangle, & p_4 &= \langle f_2 - f_3 \rangle, & p_6 &= \langle f_3 - f_4 \rangle, & p_8 &= \langle -f_4 - f_1 \rangle. \end{aligned}$$

All indices below will be taken modulo 8.

**The set  $\mathcal{S}_{4,1}$ :** This is the set  $T$ .

**The set  $\mathcal{S}_{4,2}$ :** This is the set

$$\{\langle p_i, p_j \rangle, \langle p_i, q_i \rangle \mid 1 \leq i, j \leq 8, \text{ with } i \neq 8 \text{ and } j \neq i \pm 1\},$$

where  $q_i = p_i^\perp \cap \langle p_{i-1}, p_{i+1} \rangle$ . On the standard hyperbolic basis this means:

$$\begin{aligned} q_1 &= \langle f_4 + f_2 \rangle, & q_2 &= \langle e_1 + e_2 \rangle, \\ q_3 &= \langle f_1 - f_3 \rangle, & q_4 &= \langle e_2 + e_3 \rangle, \\ q_5 &= \langle f_2 - f_4 \rangle, & q_6 &= \langle e_3 + e_4 \rangle, \\ q_7 &= \langle f_3 + f_1 \rangle, & q_8 &= \langle e_1 - e_4 \rangle. \end{aligned}$$

Note that  $q_8$  is not used in the construction of  $\mathcal{S}_{4,2}$ . The set  $\mathcal{S}_{4,2}$  has 27 elements.

**The set  $\mathcal{S}_{4,3}$ :** We use the fact that  $\mathcal{S}_{4,3} = \biguplus_{i=1}^6 (\biguplus_{i+1 < j \leq 8} \widehat{\mathcal{S}}_{4,3,\{i,j\}}^i)$ . These 3-spaces are of the form  $P = \langle p_i, p_j, x \rangle$ , where  $\langle p_j, x \rangle \in \mathcal{S}_{3,2}(T^i)$  and  $p_i$  is the lowest labeled point of  $P$  on  $T$ .

We describe  $\mathcal{S}_{4,3}$  by exhibiting  $\biguplus_{i+1 < j \leq 8} \widehat{\mathcal{S}}_{4,3,\{i,j\}}^i$  for each  $1 \leq i \leq 6$ . That is, we first list the points of the hyperbolic 6-gon  $T^i$ . Then we describe the set of elements  $\langle p_j, y \rangle \in \mathcal{S}_{3,2}(T^i)$  with  $i+1 < j \leq 8$  so that  $\langle p_i, p_j, y \rangle$  belongs to  $\mathcal{S}_{4,3}$ . In this example only we'll call this set  $\widehat{\mathcal{S}}^i$ . By Stipulation †, one of these elements is  $\langle p_j, x \rangle$ , where  $x$  is the unique point on the isotropic line spanned by the neighbors of  $p_j$  in  $T^i$  that is perpendicular to  $p_j$ . One easily verifies that if neither of these neighbors is  $q_i$ , then  $x = q_j$ . Otherwise we call this point  $q_{ij}$ .

We have  $T^1 = \{p_3, p_4, p_5, p_6, p_7, q_1\}$  and  $q_{13} = \langle f_4 + f_3 \rangle$ ,  $q_{17} = \langle f_3 + f_2 \rangle$ .

$$\widehat{\mathcal{S}}^1 = \left\{ \begin{array}{l} \langle p_3, p_5 \rangle, \langle p_3, p_6 \rangle, \langle p_3, p_7 \rangle, \langle p_3, q_{13} \rangle, \\ \langle p_4, p_6 \rangle, \langle p_4, p_7 \rangle, \langle p_4, q_1 \rangle, \langle p_4, q_4 \rangle, \\ \langle p_5, p_7 \rangle, \langle p_5, q_1 \rangle, \langle p_5, q_5 \rangle, \\ \langle p_6, q_1 \rangle, \langle p_6, q_6 \rangle, \\ \langle p_7, q_{17} \rangle \end{array} \right\}.$$

We have  $T^2 = \{p_4, p_5, p_6, p_7, p_8, q_2\}$  and  $q_{24} = \langle e_1 + e_2 + e_3 \rangle$ ,  $q_{28} = \langle e_1 + e_2 - e_4 \rangle$ .

$$\widehat{\mathcal{S}}^2 = \left\{ \begin{array}{l} \langle p_4, p_6 \rangle, \langle p_4, p_7 \rangle, \langle p_4, p_8 \rangle, \langle p_4, q_{24} \rangle, \\ \langle p_5, p_7 \rangle, \langle p_5, p_8 \rangle, \langle p_5, q_2 \rangle, \langle p_5, q_5 \rangle, \\ \langle p_6, p_8 \rangle, \langle p_6, q_2 \rangle, \langle p_6, q_6 \rangle, \\ \langle p_7, q_2 \rangle, \langle p_7, q_7 \rangle \\ \langle p_8, q_{28} \rangle \end{array} \right\}.$$

We have  $T^3 = \{p_5, p_6, p_7, p_8, p_1, q_3\}$  and  $q_{35} = \langle f_1 - f_4 \rangle$ .

$$\widehat{\mathcal{S}}^3 = \left\{ \begin{array}{l} \langle p_5, p_7 \rangle, \langle p_5, p_8 \rangle, \langle p_5, q_{35} \rangle, \langle p_6, p_8 \rangle, \langle p_6, q_3 \rangle, \langle p_6, q_6 \rangle, \\ \langle p_7, q_3 \rangle, \langle p_7, q_7 \rangle, \langle p_8, q_3 \rangle, \langle p_8, q_8 \rangle \end{array} \right\}.$$

We have  $T^4 = \{p_6, p_7, p_8, p_1, p_2, q_4\}$  and  $q_{46} = \langle e_2 + e_3 + e_4 \rangle$ .

$$\widehat{\mathcal{S}}^4 = \{\langle p_6, p_8 \rangle, \langle p_6, q_{46} \rangle, \langle p_7, q_4 \rangle, \langle p_7, q_7 \rangle, \langle p_8, q_4 \rangle, \langle p_8, q_8 \rangle\}.$$

We have  $T^5 = \{p_7, p_8, p_1, p_2, p_3, q_5\}$  and  $q_{57} = \langle f_1 + f_2 \rangle$ .

$$\widehat{\mathcal{S}}^5 = \{\langle p_7, q_{57} \rangle, \langle p_8, q_5 \rangle, \langle p_8, q_8 \rangle\}.$$

We have  $T^6 = \{p_8, p_1, p_2, p_3, p_4, q_6\}$  and  $q_{68} = \langle e_1 - e_3 - e_4 \rangle$ .

$$\widehat{\mathcal{S}}^6 = \{\langle p_8, q_{68} \rangle\}.$$

The set  $\mathcal{S}_{4,3}$  has  $14 + 14 + 10 + 6 + 3 + 1 = 48 = \binom{8}{3} - \binom{8}{1}$  elements.

For  $q = 3$  this geometry has 918400 points and 11939200 lines. A computation with the computer algebra package GAP shows that  $\mathcal{S}_{4,3}$  generates the geometry rather efficiently.

## 5 The set $\mathcal{S}_{n,k}$ has size $\binom{2n}{k} - \binom{2n}{k-2}$ .

In this section we count the elements in  $\mathcal{S}_{n,k} = \mathcal{S}_{n,k}(T, V)$  as defined in Section 4. Before we do so, we need some preparation.

**Lemma 5.1** *Let*

$$\mathbf{c} = \begin{bmatrix} c_1 \\ c_2 \\ \dots \\ c_k \end{bmatrix}, \quad \mathbf{n} = \begin{bmatrix} n_1 \\ n_2 \\ \dots \\ n_k \end{bmatrix}, \quad \text{and} \quad \mathbf{A} = \begin{pmatrix} a_{ij} \end{pmatrix},$$

where

$$a_{ij} = \binom{j}{i}.$$

If

$$\mathbf{c} = \mathbf{A}\mathbf{n}, \tag{5.1}$$

then

$$\sum_{i=1}^k (-1)^{i+1} c_i = \sum_{j=1}^k n_j.$$

**Proof** We need to show that

$$\mathbf{v}^t \mathbf{c} = \mathbf{u}^t \mathbf{n}, \tag{5.2}$$

where  $\mathbf{u}^t = (1, 1, \dots, 1)$  and  $\mathbf{v}^t = (1, -1, 1, -1, \dots, (-1)^{k+1})$ . In view of Equation 5.1 it suffices to show that

$$\mathbf{v}^t \mathbf{A} = \mathbf{u}^t, \tag{5.3}$$

since multiplying this by  $\mathbf{n}$  yields Equation 5.2. But the  $j$ -th entry of  $\mathbf{v}^t A$  is

$$\sum_{i=1}^j (-1)^{i+1} \binom{j}{i} = -((1-1)^j - 1) = 1.$$

We are done. □

We will count the elements in  $\mathcal{S}_{n,k}$  by grouping them into subsets  $\mathcal{S}_{n,k,I}$  where  $I \subseteq T$  is an  $i$ -set generating a totally isotropic  $i$ -space. That is,  $I$  is a coclique in the  $2n$ -gon that is  $T$ . Clearly we will need to know how many such  $i$ -cocliques  $T$  possesses.

**Lemma 5.2** (a) *The number of cocliques of size  $i$  in a  $2n$ -gon equals*

$$\frac{2n}{i} \binom{2n-i-1}{i-1}.$$

(b) *For any  $1 \leq k$ , the number of  $k$ -sets in a  $2n$ -set equals*

$$\binom{2n}{k} = \frac{2n}{k} \sum_{i=1}^k (-1)^{i+1} \binom{k}{i} \binom{2n-i-1}{k-1}.$$

**Proof** (a): Let  $A_{m,l}$  be the number of  $l$ -subsets  $L$  of the  $m$ -set  $[m] = \{1, 2, \dots, m\}$  such that  $L$  contains no two consecutive elements. We will prove that

$$A_{m,l} = \binom{m-l+1}{l}.$$

Clearly  $A_{m,l}$  is the number of ways to arrange  $l$  black balls and  $m-l$  white balls in a sequence so that no two black balls touch. In every such sequence every black ball, except possibly the last, is followed by at least one white ball. Viewing the first  $l-1$  black ball-white ball pairs and the last 1 black ball as separators for  $l+1$  slots, we see that the number of desired sequences is equal to the number of ways to distribute the remaining  $m-(2l-1)$  white balls over  $l+1$  slots. Thus there are really  $\binom{m-(2l-1)+l}{l} = \binom{m-l+1}{l}$  choices for  $L$ .

It now follows that the number of cocliques of size  $i$  in a  $2n$ -gon containing a given vertex equals  $A_{2n-3,i-1} = \binom{2n-i-1}{i-1}$ . The result now follows.

(b): Fix a  $k$ -set  $K_0 \subseteq [2n]$  with  $1 \notin K_0$ . Let

$$c_i = |\{(I, K) \mid |I| = i, I \subseteq K_0, |K| = k, 1 \in K \subseteq [2n], I \subseteq K_0 - K\}|,$$

and

$$n_j = |\{(J, K) \mid |J| = j, J \subseteq K_0, |K| = k, 1 \in K \subseteq [2n], J = K_0 - K\}|.$$

One verifies that

$$\begin{aligned} c_i &= \binom{k}{i} \binom{2n-(i+1)}{k-1} \\ \text{and} \\ n_j &= \binom{k}{k-j} \binom{2n-(k+1)}{j-1}. \end{aligned}$$

Note that  $\sum_{j=1}^k n_j$  is the number of all  $k$ -sets  $K$  containing 1 since each such  $K$  determines  $J$  (as in the definition of  $n_j$ ) uniquely. It now follows that

$$\binom{2n}{k} = \frac{2n}{k} \sum_{j=1}^k n_j.$$

Hence in order to prove part (b) we need

$$\sum_{j=1}^k n_j = \sum_{i=1}^k (-1)^{i+1} c_i.$$

This follows from Lemma 5.1 and the observation that

$$c_i = \sum_{j=1}^k \binom{j}{i} n_j.$$

This, in turn, is not hard to see if we interpret  $\binom{j}{i} = |\{I \mid |I| = i, I \subseteq J\}|$  for the  $j$ -set  $J$ . Clearly each of the pairs  $(I, K)$  counted by  $c_i$  occurs exactly once since  $K$  determines  $J$  (as in the definition of  $n_j$ ) uniquely.  $\square$

We shall now count the elements of  $\mathcal{S}_{n,k}$ . Our inductive argument follows the inductive definition of  $\mathcal{S}_{n,k}$ .

**Proposition 5.3** (a) *For  $n \geq 2$  and  $k = 1, 2$  we have*

$$|\mathcal{S}_{n,k}| = \binom{2n}{k} - \binom{2n}{k-2}.$$

*Now let  $n \geq 3$ .*

(b) *For  $k \geq 3$  and any  $I \subseteq T$  with  $1 \leq i = |I| \leq k$  we have*

$$|\bar{\mathcal{S}}_{n,k,I}| = \binom{2(n-i)}{k-i} - \binom{2(n-i)}{k-i-2}.$$



(c) For  $k \geq 3$  we have

$$|\mathcal{S}_{n,k}| = \binom{2n}{k} - \binom{2n}{k-2}.$$

**Proof** (a): Clearly

$$\begin{aligned} |\mathcal{S}_{n,1}| &= 2n &&= \binom{2n}{1} - \binom{2n}{-1} \\ \text{and} \\ |\mathcal{S}_{n,2}| &= \frac{2n(2n-3)}{2} + 2n - 1 &&= \binom{2n}{2} - \binom{2n}{0}. \end{aligned}$$

We prove (b) and (c) by induction on  $k \geq 3$ . Let us refer to the statement (b) for fixed  $k$  as (b, $k$ ), and likewise for (c). We first show that (b,  $k$ )  $\implies$  (c,  $k$ ): We claim that

$$|\mathcal{S}_{n,k}| = \sum_{i=1}^k (-1)^{i+1} \frac{2n}{i} \binom{2n-i-1}{i-1} \left( \binom{2(n-i)}{k-i} - \binom{2(n-i)}{k-i-2} \right). \quad (5.4)$$

To this end, let

$$c_i = |\{(K, I) \mid |I| = i, K \in \mathcal{S}_{n,k}, I \subseteq K \cap T\}|$$

and

$$n_j = |\{(K, J) \mid |J| = j, K \in \mathcal{S}_{n,k}, J = K \cap T\}|$$

According to Lemma 5.2, the number of t.i.  $i$ -sets  $I \subseteq T$  is equal to

$$\frac{2n}{i} \binom{2n-i-1}{i-1}.$$

Therefore, it follows from our assumption of (b), that

$$c_i = \frac{2n}{i} \binom{2n-i-1}{i-1} \left( \binom{2(n-i)}{k-i} - \binom{2(n-i)}{k-i-2} \right).$$

By Lemma 4.3 (1a), for every  $K \in \mathcal{S}_{n,k}$  we have  $K \cap T = J \neq \emptyset$ . Hence,

$$|\mathcal{S}_{n,k}| = \sum_{j=1}^k n_j.$$

Hence in order to prove our claim 5.4, we need

$$\sum_{j=1}^k n_j = \sum_{i=1}^k (-1)^{i+1} c_i.$$

This follows from Lemma 5.1 and the observation that

$$c_i = \sum_{j=1}^k \binom{j}{i} n_j.$$

This, in turn, is not hard to see if we interpret  $\binom{j}{i} = |\{I \mid |I| = i, I \subseteq J\}|$  for the  $j$ -set  $J$ . Clearly each of the pairs  $(I, K)$  counted by  $c_i$  occurs exactly once since  $K$  determines  $J$  (as in the definition of  $n_j$ ) uniquely.

One verifies that

$$\frac{2n}{i} \binom{2n-i-1}{i-1} \binom{2(n-i)}{k-i} = \frac{2n}{k} \binom{k}{i} \binom{2n-i-1}{k-1}$$

simply by writing  $\binom{a}{b} = \frac{a!}{b!(a-b)!}$  and cancelling what appears left and right. Recalling that  $k \geq 3$ , this enables us to rewrite Equation 5.4 as

$$|\mathcal{S}_{n,k}| = \sum_{i=1}^k (-1)^{i+1} \left( \frac{2n}{k} \binom{k}{i} \binom{2n-i-1}{k-1} - \frac{2n}{k-2} \binom{k-2}{i} \binom{2n-i-1}{k-3} \right).$$

We split this as the difference of two sums in the obvious way and observe that in the second sum the terms with  $i > k-2$  are zero since  $\binom{k-2}{i} = 0$ . Then, using Lemma 5.2 part (b) we find that

$$|\mathcal{S}_{n,k}| = \binom{2n}{k} - \binom{2n}{k-2},$$

as desired.

We now prove (b) by induction on  $k \geq 3$ . First note that the following equation holds for  $I \subseteq T$  t.i. and any  $p_r \in I$ , where either  $k = 3$  and  $1 \leq i = |I| \leq 3$  or  $k \geq 4$  and  $2 \leq i = |I| \leq k$ :

$$|\overline{\mathcal{S}}_{n-1, k-1, I-\{p_r\}}(T^r, p_r^\perp/p_r)| = \binom{2(n-i)}{k-i} - \binom{2(n-i)}{k-i-2}. \quad (5.5)$$

For  $k = 3$  and  $i = 1$  this is ensured by part (a). For  $k = 3$  and  $i = 2$ , Stipulation (†) ensures that the redundancy point is  $q_r \notin I \subseteq T$  so that the set has size  $2n - 4$  rather than  $2n - 5$ . For  $k = 3$  and  $i = 3$  we have 1 on either side. For  $k \geq 4$  this is the induction hypothesis.

To simplify notation, for  $J \subseteq T$  t.i. with  $2 \leq |J| \leq k$  and  $p_r \in J$ , let

$$\begin{aligned} s_{J-p_r} &= |\mathcal{S}_{n-1,k-1,J-\{p_r\}}(T^r, p_r^\perp/p_r)| \\ s_{J-p_r+q_r} &= |\mathcal{S}_{n-1,k-1,J-\{p_r\} \cup \{q_r\}}(T^r, p_r^\perp/p_r)|. \end{aligned}$$

We now prove that for  $J \subseteq T$  t.i. with  $2 \leq |J| \leq k$  and any two points  $p_r, p_s \in J$ , we have

$$s_{J-p_r} + s_{J-p_r+q_r} = s_{J-p_s} + s_{J-p_s+q_s} \quad (5.6)$$

That is,

$$|\widehat{\mathcal{S}}_{n,k,J}^r| = |\widehat{\mathcal{S}}_{n,k,J}^s|. \quad (5.7)$$

We prove this by induction on  $k - j$ , where  $j = |J|$ . For  $j = k$  we have

$$s_{J-p_r} = 1 = s_{J-p_s} \text{ and } s_{J-p_r+q_r} = 0 = s_{J-p_s+q_s}$$

and we are done.

Now let  $2 \leq j < k$ . We have

$$\begin{aligned} \sum_{J \subseteq H} s_{H-p_r} + s_{H-p_r+q_r} &= |\overline{\mathcal{S}}_{n-1,k-1,J-\{p_r\}}(T^r, p_r^\perp/p_r)| \quad \text{by Lemma 4.1,} \\ &= \binom{2(n-j)}{k-j} - \binom{2(n-j)}{k-j-2} \quad \text{by Equation 5.5,} \end{aligned}$$

where the sum is over those t.i.  $H$  satisfying  $J \subseteq H \subseteq T$  and  $|H| \leq k$ . In particular,

$$\sum_{J \subseteq H} s_{H-p_r} + s_{H-p_r+q_r} = \sum_{J \subseteq H} s_{H-p_s} + s_{H-p_s+q_s}.$$

Now Equation 5.6 follows since by induction

$$\sum_{J \subseteq H} s_{H-p_r} + s_{H-p_r+q_r} = \sum_{J \subseteq H} s_{H-p_s} + s_{H-p_s+q_s}.$$

As a consequence, for any t.i.  $I \subseteq T$  with  $1 \leq |I| \leq k$  we have

$$\begin{aligned} |\overline{\mathcal{S}}_{n,k,I}| &= \sum_{I \subseteq J \subseteq T \text{ t.i.}} |\mathcal{S}_{n,k,J}| && \text{by Lemma 4.1} \\ &= \sum_{I \subseteq J \subseteq T \text{ t.i.}} |\widehat{\mathcal{S}}_{n,k,J}^{\min J}| && \text{by definition of } \mathcal{S}_{n,k} \\ &= \sum_{I \subseteq J \subseteq T \text{ t.i.}} |\widehat{\mathcal{S}}_{n,k,J}^r| \text{ for any } p_r \in I && \text{by Equation 5.7} \end{aligned}$$

Note for the latter equality, that this is automatic if  $|I| = 1$  and  $I = J$  so that  $\min J = r$  already. By definition of  $\widehat{\mathcal{S}}$  the latter sum equals

$$\sum_{I \subseteq J \subseteq T \text{ t.i.}} |\mathcal{S}_{n-1, k-1, J-\{p_r\}}(T^r, p_r^\perp/p_r)| + |\mathcal{S}_{n-1, k-1, J-\{p_r\} \cup \{q_r\}}(T^r, p_r^\perp/p_r)|. \quad (5.8)$$

Now in case  $2 \leq |I|$  this is

$$\begin{aligned} &= |\overline{\mathcal{S}}_{n-1, k-1, I-\{p_r\}}(T^r, p_r^\perp/p_r)| \quad \text{by definition of } \overline{\mathcal{S}} \\ &= \binom{2(n-i)}{k-i} - \binom{2(n-i)}{k-i-2} \quad \text{by Equation 5.5} \end{aligned}$$

In case  $|I| = 1$ , note that sum 5.8 is in fact a sum over all t.i. subsets of  $T^r$ . Hence it equals

$$|\mathcal{S}_{n-1, k-1}(T^r, p_r^\perp/p_r)|$$

which is equal to

$$\binom{2(n-1)}{k-1} - \binom{2(n-1)}{k-3},$$

as desired. For  $k = 3$  this follows from (a) and, for  $k \geq 4$  it follows from the induction hypothesis together with the implication  $(b, k-1) \implies (c, k-1)$ . This proves (b,  $k$ ). By the first part of this proof, we then also have (c,  $k$ ).  $\square$

## 6 The set $\mathcal{S}_{n,k}$ generates $\Gamma_k$ .

Let  $V$  be a vector space of dimension  $2n$  over a field  $\mathbb{F}$  of odd characteristic. Let  $s$  be a non-degenerate symplectic form on  $V$ . Fix a hyperbolic  $2n$ -gon  $T$  for  $V$  and let  $\mathcal{S}_{n,k}(T, V)$  be as defined in Section 4.

**Lemma 6.1** *For  $n \geq 2$ , the set  $\mathcal{S}_{n,1}$  generates  $\Gamma_1$ .*

**Proof** Recall  $\mathcal{S}_{n,1} = T$  and  $L = \langle p_1, p_2 \rangle_V$ . Using Proposition 3.20 it suffices to prove that every point  $x$  on a line  $L$  of  $\Gamma_{\text{hyp}}$  with  $|L \cap T| = 2$  belongs to  $\langle T \rangle_{\Gamma_1}$ .

We proceed by induction on  $n$ . Let  $n = 2$ . Let  $T = \{p_1, p_2, p_3, p_4\}$ . Clearly the singular lines  $p_1p_3$  and  $p_2p_4$  belong to  $\langle T \rangle_{\Gamma_1}$ . Among the points on these two lines one finds the set of points on an apartment, a quadrangle in  $\Gamma_1$  and it is well-known that such a set of points generates the geometry if  $\text{Char}(\mathbb{F}) \neq 2$  (compare Theorem 2.1). In particular  $x \in \langle T \rangle_{\Gamma_1}$ .

Now let  $n > 2$ . Then  $L' = \langle p_{n+1}, p_{n+2} \rangle_V$  satisfies  $L \perp L'$ . Now  $p_1, p_2, p_{n+1}, p_{n+2}$  are the points of an apartment for the  $\text{Sp}_4(\mathbb{F})$  polar space on  $\langle p_1, p_2, p_{n+1}, p_{n+2} \rangle_V$ . These points generate the  $\text{Sp}_4(\mathbb{F})$  polar space. In particular all points on  $L$ , including  $x$ , are in  $\langle p_1, p_2, p_{n+1}, p_{n+2} \rangle_{\Gamma_1}$ .  $\square$

**Lemma 6.2** For  $n \geq 2$ , the set  $\mathcal{S}_{n,2}$  generates  $\Gamma_2$ .

**Proof** Let  $\mathcal{S} = \mathcal{S}_{n,2}$  and let  $\mathcal{F}$  be the set of  $\mathcal{S}$ -full points of  $\Gamma_1$ . Recall that a hyperbolic basis for  $V$  is a basis  $\mathcal{E} = \{e_{i,\emptyset}, e_{\emptyset,i} \mid i \in [n]\}$  such that, for  $I, J \subseteq [n]$ , the linear subspace

$$e_{I,J} = \langle e_{i,\emptyset}, e_{\emptyset,j} \mid i \in I, j \in J \rangle_V$$

is totally isotropic if and only if  $I \cap J = \emptyset$ .

We will prove that, for some hyperbolic basis  $\mathcal{E}$ , the span  $\langle \mathcal{S} \rangle_{\Gamma_2}$  contains a set of t.i. 2-spaces of the following form:

- (1) All t.i. 2-spaces  $e_{i,j}$  with  $1 \leq i \neq j \leq n$ ,
- (2)  $(n-1)$  linearly independent 2-spaces lying on a point  $e$  contained in a totally isotropic  $n$ -space, where  $e \in e_{[n],\emptyset}$ , but  $e \notin e_{J,\emptyset}$  for any  $J \subsetneq [n]$ .

The main result in Blok [3] says that such a set generates  $\Gamma_2$  and we are done.

An easy consequence is the following:

(A) If  $\mathcal{F}$  contains a hyperbolic basis  $\mathcal{E}$  and a point  $e$  such that

$$\mathfrak{s}(e, e_{i,\emptyset}) = 0 \neq \mathfrak{s}(e, e_{\emptyset,i})$$

for all  $i = 1, 2, \dots, n$ , then  $\mathcal{S}$  is a generating set for  $\Gamma_2$ .

Namely, the equalities ensure that  $e$  belongs to the maximal t.i. subspace  $e_{[n],\emptyset}$  and the inequalities ensure that  $e \notin e_{[n],\emptyset} \cap e_{\emptyset,[n]-J}^\perp = e_{J,\emptyset}$  for any  $\emptyset \neq J \subseteq [n]$ . Hence,  $\mathcal{S}$  contains a generating set as in (1) and (2).

We first consider the case  $n = 2$ . Note that we have no t.i. 3-spaces. Let  $H = \langle p_1, p_2 \rangle_V$ . Then  $U = H^\perp$  is a hyperbolic 2-space also. We claim that all points on  $U \cup H$  are  $\mathcal{S}$ -full. Namely, for any point  $u \in U$  the t.i. 2-spaces  $p_1u$  and  $p_2u$  are in  $\langle \mathcal{S} \rangle$ . Let  $e_{1,\emptyset} = p_1$ ,  $e_{\emptyset,1} = p_2$ ,  $e_3 = p_1p_3 \cap p_2^\perp \in H$ , and  $e_4 \in H - e_3$ , and let  $e = p_3$ . Then, A holds and we are done.

We prove the cases  $n \geq 3$  by induction on  $n$ . First, let  $n = 3$ . Let  $e_{1,\emptyset} = p_1$ ,  $e_{2,\emptyset} = p_5$ ,  $e_{\emptyset,1} = p_2$ ,  $e_{\emptyset,2} = p_4$ . Setting  $H = \langle p_1, p_2, p_4, p_5 \rangle^\perp$ , let  $e_{3,\emptyset} = p_3^\perp \cap H$  and let  $e_{\emptyset,3} \in H - e_{3,\emptyset}$ . Finally, let  $e = p_3$ . We claim that  $\mathcal{E} = \{e_{i,\emptyset}, e_{\emptyset,i} \mid i \in [3]\}$  together with  $e$  is a set of  $\mathcal{S}$ -full points as described in (A).

To this end, we first show that every point  $h \in H$ , in particular  $e_{3,\emptyset}$  and  $e_{\emptyset,3}$ , is  $\mathcal{S}$ -full. Namely, since  $p_1, p_2, p_4, p_5$  are  $\mathcal{S}$ -full, these points contain a t.i. 2-space on  $h$ . Since, by Theorem 2.1 the points  $p_1, p_2, p_4, p_5$  generate  $\Gamma_1(\langle p_1, p_2, p_4, p_5 \rangle_V)$ , the point  $h$  is  $\mathcal{S}$ -full. We only have to show that

$$\mathfrak{s}(e, e_{i,\emptyset}) = 0 \neq \mathfrak{s}(e, e_{\emptyset,i})$$

for all  $i = 1, 2, 3$ . But this is true by definition of  $T$  and by choice of  $e_{3,\emptyset}$  and  $e_{\emptyset,3}$ .

Now let  $n \geq 4$ . Let  $T' = p_1, p_2, \dots, p_{2n-3}, q_{2n-1}$ . This is a hyperbolic  $(2n-2)$ -gon for  $U = \langle T' \rangle_V$ . Let  $H = U^\perp$ . Clearly  $U$  is non-degenerate of dimension  $2n-2$  and  $H$  is a hyperbolic 2-space. We can take  $q_{2n-1}$  as the redundancy point for  $T'$  and so, by induction, the t.i. 2-spaces of  $\mathcal{S}$  on the points of  $T'$  generate  $\Gamma_2(U)$ . In particular, all t.i. 2-spaces on  $q_{2n-1}$  contained in  $U$  are in  $\langle \mathcal{S} \rangle_{\Gamma_2}$ . In addition, the t.i. 2-spaces  $\langle q_{2n-1}, p_{2n-1} \rangle_V$  and  $\langle q_{2n-1}, p_{2n-2} \rangle_V = \langle p_{2n-2}, p_{2n} \rangle_V$  are in  $\mathcal{S}$ . It follows that  $q_{2n-1}$  is  $\mathcal{S}$ -full also.

We now show that each point  $h \in H$  is  $\mathcal{S}$ -full. Namely, for each of the points  $p \in T'$  the t.i. 2-space  $\langle p, h \rangle_V$  belongs to  $\langle \mathcal{S} \rangle_{\Gamma_2}$ . Since  $T'$  generates  $\Gamma_1(U)$  and  $n > 2$  we find that  $h$  is  $\mathcal{S}$ -full. Combining this with the earlier proved fact that  $\Gamma_2(U) \subseteq \langle \mathcal{S} \rangle_{\Gamma_2}$ , it follows that all points of  $U$  are  $\mathcal{S}$ -full, also.

Let  $e = p_{2n-2}$ . As  $e \notin U$  and  $e \not\perp p_{2n-3}$  also  $e \notin H$ . It follows that in  $U$  we can choose a hyperbolic basis  $\mathcal{E}(U) = \{e_{i,\emptyset}, e_{\emptyset,i} \mid i \in [n-1]\}$  such that

$$s(e, e_{i,\emptyset}) = 0 \neq s(e, e_{\emptyset,i})$$

for all  $i \in [n-1]$ . In addition, let  $e_{n,\emptyset} = e^\perp \cap H$  and let  $e_{\emptyset,n} \in H - e^\perp$ . Now  $\mathcal{E} = \mathcal{E}(U) \cup \{e_{n,\emptyset}, e_{\emptyset,n}\}$  and  $e$  form an  $\mathcal{S}$ -full set as in (A) and we are done.  $\square$

### Proposition 6.3

For  $n \geq 2$ , and  $k \geq 1$  we have

(a)  $|\mathcal{S}_{n,k}| = \binom{2n}{k} - \binom{2n}{k-2}$ ,

(b)  $\mathcal{S}_{n,k}$  generates  $\Gamma_k$ .

For  $n, k \geq 3$  and any t.i.  $I \subseteq T$  with  $1 \leq i = |I| \leq k$ , we have

(c)  $|\overline{\mathcal{S}}_{n,k,I}| = \binom{2(n-i)}{k-i} - \binom{2(n-i)}{k-i-2}$

(d)  $\overline{\mathcal{S}}_{n,k,I}$  generates  $\Gamma_k(I)$ .

In the proof we will use the following notation.

$$\overline{\mathcal{S}}_{n,k,I}^i = \{\langle p_i, K' \rangle_V \mid K' \in \overline{\mathcal{S}}_{n-1,k-1,I-\{p_i\}}(T^i, p_i^\perp/p_i)\}$$

We note that using Lemma 4.1, one verifies that  $\overline{\mathcal{S}}_{n,k,I}^i = \biguplus_{I \subseteq J \subseteq T} \widehat{\mathcal{S}}_{n,k,J}^i$ .

**Proof** Part (a) and (c) follow immediately from Proposition 5.3, but we include them here for convenience.

Let us refer to statement (b) for fixed  $k$  and arbitrary  $n \geq k$  as (b,  $k$ ). We'll do likewise for statement (d).

We first prove (b,  $k$ ) by induction on  $k \geq 1$ . For  $k = 1, 2$ , this is Lemma 6.1 and Lemma 6.2.

We now prove (b,  $k$ ) for  $k \geq 3$  assuming (d,  $k$ ) holds. Call  $\mathcal{S} = \mathcal{S}_{n,k}$  and let  $\mathcal{F}$  be the set of  $\mathcal{S}$ -full points of  $\Gamma_1$ . Using Proposition 3.20 we must prove

- (1)  $\mathcal{F}$  contains a hyperbolic  $2n$ -gon  $T$ ,
- (2) given a hyperbolic  $2n$ -gon  $T' \subseteq \mathcal{F}$  and a line  $L$  of  $\Gamma_{\text{hyp}}$  with  $|L \cap T'| = 2$ , then every point  $x$  on  $L$  belongs to  $\mathcal{F}$ .

Namely, taking  $|I| = 1$  in (d,  $k$ ) we find that  $T \subseteq \mathcal{F}$  and so (1) holds.

We now prove (2) by induction on  $n - k$  with  $n \geq k$ . Let  $T' = \{p_1, p_2, \dots, p_{2n}\} \subseteq \mathcal{F}$  be a hyperbolic  $2n$ -gon and let  $H$  be a line of  $\Gamma_{\text{hyp}}$  with  $H \cap T' = \{p_1, p_2\}$  and let  $x \in H$  be an arbitrary point. We will show that  $x$  is  $\mathcal{S}$ -full as well.

To this end, let  $W = H^\perp$ . Note that, since  $p_1$  and  $p_2$  are  $\mathcal{S}$ -full, all t.i.  $k$ -spaces on  $p_1$  or  $p_2$  and meeting  $W$  in a  $(k - 1)$ -space  $K'$  are in  $\langle \mathcal{S} \rangle_{\Gamma_k}$ .

Case  $n = k$ : In this case, the collection of t.i.  $k$ -spaces on  $K'$  forms a line of  $\Gamma_k$  and it follows that the  $k$ -space  $\langle x, K' \rangle_V$  also lies in  $\langle \mathcal{S} \rangle_{\Gamma_k}$ . Thus  $x$  is  $\mathcal{S}$ -full and we are done.

Case  $3 \leq k < n$ : Let  $T'_{1,2} = H^\perp \cap T' = \{p_4, p_5, \dots, p_{2n-1}\}$ . This can be completed to a hyperbolic  $2(n - 1)$ -gon  $T'_W$  by adding two points  $r_1$  and  $r_2$  that are collinear in  $\Gamma_{\text{hyp}}$ . Consider the set  $\mathcal{S}_{n-1,k}(T'_W, W)$ . By induction on  $n$ , this is a generating set for  $\Gamma_k(W)$ . Since  $k \geq 3$ , it follows from Lemma 4.3 that all elements of  $\mathcal{S}_{n-1,k}(T'_W, W)$  meet  $T'_{1,2}$  in at least one point. Since all points of  $T'_{1,2}$  are  $\mathcal{S}$ -full, we have  $\mathcal{S}_{n-1,k}(T'_W, W) \subseteq \langle \mathcal{S} \rangle_{\Gamma_k}$ .

At this point we make the following observation: For a set  $\mathcal{S}(W)$  of points in  $\Gamma_k(W)$  we have

$$\langle \mathcal{S}(W) \rangle_{\Gamma_k(W)} \subseteq \langle \mathcal{S}, \mathcal{S}(W) \rangle_{\Gamma_k}. \quad (6.1)$$

This is self-evident, except if the lines of the geometry  $\Gamma_k(W)$  are not lines of  $\Gamma_k$ , that is, if  $k = n - 1$ . However, in that case, let  $K_3$  and  $K_4$  be collinear points of  $\Gamma_k(W)$ . Then  $K_3 = \langle s_3, L \rangle_V \leq W$  and  $K_4 = \langle s_4, L \rangle_V \leq W$  for some  $(k - 1)$ -space  $L$  and certain t.i. 1-spaces  $s_3, s_4 \subseteq W$ . Note that also  $K_1 = \langle p_1, L \rangle_V$  and  $K_2 = \langle p_2, L \rangle_V$  are t.i.  $k$ -spaces in  $\langle \mathcal{S} \rangle_{\Gamma_k}$ . Now  $\Gamma_k(L) \cong \Gamma_1(L^\perp/L)$  is isomorphic to  $\text{Sp}_4(\mathbb{F})$  and is generated by  $p_1, p_2, s_3, s_4$  since  $\langle p_1, p_2 \rangle_V$  and  $\langle s_3, s_4 \rangle_V$  are orthogonal hyperbolic 2-spaces. As a consequence, for any t.i. 1-space  $s \leq \langle s_3, s_4 \rangle_V$  the t.i.  $k$ -space  $\langle s, L \rangle_V$  is in  $\langle \mathcal{S}, \mathcal{S}(W) \rangle_{\Gamma_k}$ , as desired.

Now applying (d,  $k$ ) we see that  $\overline{\mathcal{S}}_{n-1,k,\{r_i\}}(T'_W, W)$  ( $i = 1, 2$ ) generates  $\Gamma_k(r_i)$  in  $\Gamma_k(W)$ . That is,  $r_1$  and  $r_2$  are  $\mathcal{S}_{n-1,k}(T'_W, W)$ -full in  $\Gamma_k(W)$  and by Equation 6.1 all t.i.  $k$ -spaces of  $W$  on  $r_1$  are in  $\langle \mathcal{S} \rangle_{\Gamma_k}$ . In turn, since all points of  $T'_W$  are  $\mathcal{S}_{n-1,k}(T'_W, W)$ -full, again using Equation 6.1 we find that all t.i.  $k$ -spaces of  $\Gamma_k(W)$  belong to  $\langle \mathcal{S} \rangle_{\Gamma_k}$ .

Now consider an arbitrary  $k$ -space  $K$  on  $x$ . Then  $K \cap W$  is a  $(k - 1)$ -space  $L$ . Then by the previous, all t.i.  $k$ -spaces on  $L$ , both those in  $W$  and those on  $p_1$  and  $p_2$  are in  $\langle \mathcal{S} \rangle_{\Gamma_k}$ . We find that these t.i.  $k$ -spaces generate  $\Gamma_k(L) \cong \Gamma_1(L^\perp/L)$ . In particular  $\langle x, L \rangle_V \in \langle \mathcal{S} \rangle_{\Gamma_k}$ . This completes the proof of (2) and establishes (d,  $k$ )  $\implies$  (b,  $k$ ).

We will now prove (d,  $k$ ) by induction on  $k \geq 3$ . When considering case  $k = 3$ , we keep in mind that (d, 1) holds for any  $I$  and that (d, 2) holds for all  $I \neq \{p\}$ , where  $p$  is the redundancy point. When considering case  $k \geq 4$ , we assume that (d,  $k - 1$ ) holds by induction. Since we proved that (b, 2) holds and that (d,  $k - 1$ ) implies (b,  $k - 1$ ) for  $k \geq 4$ , in all cases  $k \geq 3$  we may assume that (b,  $k - 1$ ) holds as well, that is,  $\mathcal{S}_{n,k-1}$  generates  $\Gamma_{k-1}$ .

Note that, for  $I \subseteq T$  t.i. with  $2 \leq |I| \leq k$  and any  $p_r \in I$  we have

$$\langle \overline{\mathcal{S}}_{n-1,k-1,I-\{p_r\}}(T^r, p_r^\perp/p_r) \rangle = \Gamma_{k-1}(I - \{p_r\}) \quad (6.2)$$

For  $k = 3$  this is ensured by (d, 2); Note that (d, 2) only fails if somehow  $I - \{p_r\} = \{q\}$ , where  $q$  is the redundancy point of  $T^r$ . This does not occur since by Stipulation ( $\dagger$ ),  $q = q_r \notin T$  and  $I \subseteq T$ . For  $k \geq 4$  Equation 6.2 follows directly from the induction hypothesis.

In order to apply induction it will be necessary to show that, for any  $p_r \in I$ ,

$$\left\langle \bigoplus_{I \subseteq J \subseteq T \text{ t.i. and } |J| \leq k} \widehat{\mathcal{S}}_{n,k,J}^r \right\rangle = \left\langle \bigoplus_{I \subseteq J \subseteq T \text{ t.i. and } |J| \leq k} \widehat{\mathcal{S}}_{n,k,J}^{\min J} \right\rangle \quad (6.3)$$

We now prove Equation 6.3 by induction on  $i = |I|$ . Clearly the statement is true for  $i = k$  since then left and right we have only one element, namely  $\langle I \rangle_V$ . Now assume that the statement is true for  $i + 1, i + 2, \dots, k$ . That is,

$$\left\langle \bigoplus_{I \subseteq J \subseteq T \text{ t.i. and } |J| \leq k} \widehat{\mathcal{S}}_{n,k,J}^r \right\rangle = \left\langle \bigoplus_{I \subseteq J \subseteq T \text{ t.i. and } |J| \leq k} \widehat{\mathcal{S}}_{n,k,J}^{\min J} \right\rangle$$

Notice that lifting Equation 6.2 to  $\Gamma_k$  tells us that for any  $p_r \in I$ , we have

$$\langle \overline{\mathcal{S}}_{n,k,I}^r \rangle_{\Gamma_k} = \Gamma_k(I) \quad (6.4)$$

Namely,

$$\begin{aligned} \langle \overline{\mathcal{S}}_{n,k,I}^r \rangle_{\Gamma_k} &= p_r \oplus \langle \overline{\mathcal{S}}_{n-1,k-1,I-\{p_r\}}(T^r, p_r^\perp/p_r) \rangle && \text{by } \Gamma_k(I) \cong \Gamma_{k-1}(I - \{p_r\}) \\ &= p_r \oplus \Gamma_{k-1}(I - \{p_r\}) && \text{by Equation 6.2} \\ &= \Gamma_k(I) && \text{by } \Gamma_k(I) \cong \Gamma_{k-1}(I - \{p_r\}) \end{aligned}$$



Then,

$$\begin{aligned}
\left\langle \bigoplus_{I \subseteq J \subseteq T \text{ t.i. and } |J| \leq k} \widehat{\mathcal{S}}_{n,k,J}^r \right\rangle &= \left\langle \overline{\widehat{\mathcal{S}}}_{n,k,I}^r \right\rangle_{\Gamma_k} \\
&= \left\langle \overline{\widehat{\mathcal{S}}}_{n,k,I}^{\min I} \right\rangle_{\Gamma_k} && \text{Equation 6.4} \\
&= \left\langle \bigoplus_{I \subseteq J \subseteq T \text{ t.i. and } |J| \leq k} \widehat{\mathcal{S}}_{n,k,J}^{\min I} \right\rangle \\
&= \left\langle \widehat{\mathcal{S}}_{n,k,I}^{\min I} \cup \bigoplus_{I \subsetneq J \subseteq T \text{ t.i. and } |J| \leq k} \widehat{\mathcal{S}}_{n,k,J}^{\min I} \right\rangle \\
&= \left\langle \widehat{\mathcal{S}}_{n,k,I}^{\min I} \cup \bigoplus_{I \subsetneq J \subseteq T \text{ t.i. and } |J| \leq k} \widehat{\mathcal{S}}_{n,k,J}^{\min J} \right\rangle \text{ induction on } i \\
&= \left\langle \bigoplus_{I \subseteq J \subseteq T \text{ t.i. and } |J| \leq k} \widehat{\mathcal{S}}_{n,k,J}^{\min J} \right\rangle \\
& \quad I \subseteq J \subseteq T \text{ t.i. and } |J| \leq k
\end{aligned}$$

This proves Equation 6.3.

As a consequence, for any t.i.  $I \subseteq T$  with  $1 \leq |I| \leq k$  we have

$$\begin{aligned}
\left\langle \overline{\mathcal{S}}_{n,k,I} \right\rangle_{\Gamma_k} &= \left\langle \bigoplus_{I \subseteq J \subseteq T \text{ t.i.}} \mathcal{S}_{n,k,J} \right\rangle_{\Gamma_k} && \text{by Lemma 4.1} \\
&= \left\langle \bigoplus_{I \subseteq J \subseteq T \text{ t.i.}} \widehat{\mathcal{S}}_{n,k,J}^{\min J} \right\rangle_{\Gamma_k} && \text{by definition of } \mathcal{S}_{n,k} \\
&= \left\langle \bigoplus_{I \subseteq J \subseteq T \text{ t.i.}} \widehat{\mathcal{S}}_{n,k,J}^r \right\rangle_{\Gamma_k} \text{ for any } p_r \in I && \text{by Equation 6.3} \\
& \quad I \subseteq J \subseteq T \text{ t.i.}
\end{aligned}$$

Note for the latter equality, that this is automatic if  $|I| = 1$  and  $I = J$  so that  $\min J = r$  already. By definition of  $\widehat{\mathcal{S}}$  the latter span equals

$$\left\langle p_r \oplus K' \mid K' \in \bigoplus_J \mathcal{S}_{n-1,k-1,J-\{p_r\}}(T^r, p_r^\perp/p_r) \uplus \mathcal{S}_{n-1,k-1,J-\{p_r\} \cup \{q_r\}}(T^r, p_r^\perp/p_r) \right\rangle_{\Gamma_k} \quad (6.5)$$

where the union is taken over all t.i.  $J$  with  $I \subseteq J \subseteq T$ .

Now in case  $2 \leq |I|$  this is

$$\begin{aligned}
&\left\langle p_r \oplus K' \mid K' \in \overline{\mathcal{S}}_{n-1,k-1,I-\{p_r\}}(T^r, p_r^\perp/p_r) \right\rangle_{\Gamma_k} && \text{definition of } \overline{\mathcal{S}} \\
&= p_r \oplus \left\langle \overline{\mathcal{S}}_{n-1,k-1,I-\{p_r\}}(T^r, p_r^\perp/p_r) \right\rangle_{\Gamma_{k-1}} && \Gamma_k(I) \cong \Gamma_{k-1}(I - \{p_r\}) \\
&= p_r \oplus \Gamma_{k-1}(I - \{p_r\}) && \text{Equation 6.2 and } 2 \leq |I| \\
&= \Gamma_k(I) && \Gamma_k(I) \cong \Gamma_{k-1}(I - \{p_r\})
\end{aligned}$$

In case  $|I| = 1$ , that is,  $I = \{p_r\}$ , note that the span 6.5 is in fact the span over all t.i. subsets of  $T^r$ . Hence it equals

$$\begin{aligned} & \langle p_r \oplus K' \mid K' \in \mathcal{S}_{n-1, k-1}(p_r^\perp/p_r) \rangle_{\Gamma_k} \\ &= p_r \oplus \langle \mathcal{S}_{n-1, k-1}(p_r^\perp/p_r) \rangle_{\Gamma_{k-1}(p_r^\perp/p_r)} \quad \text{since } \Gamma_k(p_r) \cong \Gamma_{k-1}(p_r^\perp/p_r) \end{aligned}$$

which, by (b,  $k - 1$ ) is equal to

$$p_r \oplus \Gamma_{k-1}(p_r^\perp/p_r) = \Gamma_k(p_r)$$

as desired. This proves (d,  $k$ ).  $\square$

## 7 Embeddings and the proof of the main theorem

**Lemma 7.1** *The geometry  $\Gamma_k$  has an embedding of dimension  $\binom{2n}{k} - \binom{2n}{k-2}$ .*

**Proof** The embedding is afforded by the Lie algebra module whose highest weight is the  $k$ -th fundamental dominant weight. We will sketch the construction here. For a more detailed description, see Blok [2]. The symplectic group  $G = \text{Sp}(V)$  is the group of linear transformations of  $V$  preserving  $\mathfrak{s}$ . Let  $I = \{1, 2, \dots, n\}$  and let  $\mathcal{A} = \{e_i, f_i \mid i \in I\}$  be a hyperbolic basis/apartment for  $V$ . Furthermore, for  $i \in I$ , let  $c_i = \langle e_1, e_2, \dots, e_i \rangle$ , a t.i.  $i$ -space and consider the chamber  $c = (c_1, c_2, \dots, c_n)$ . Now  $B = \text{Stab}_G(c)$ ,  $N = \text{Stab}_G(\mathcal{A})$  is a  $(B, N)$ -pair for  $G$ . Then  $(W, \{r_i\}_{i \in I})$ , where  $W = \langle r_i \mid i \in I \rangle \cong 2^n \cdot \text{Sym}(n)$  and

$$\begin{aligned} r_i: & e_i \leftrightarrow e_{i+1} \quad (1 \leq i \leq n-1) \\ & f_i \leftrightarrow f_{i+1} \\ r_n: & e_n \leftrightarrow f_n \end{aligned}$$

is the Coxeter system of type  $M = C_n$  associated to  $(B, N)$ . For any  $J \subseteq I$  we have a Coxeter subsystem  $(W_J = \langle r_j \mid j \in J \rangle, \{r_j\}_{j \in J})$  of type  $M_J$ . Using Bruhat decomposition we may define the standard parabolic subgroup of type  $J$  as

$$P_J = \bigsqcup_{w \in W_J} BwB.$$

Now  $\Gamma_k$  can be presented as having the coset space  $G/P_{I-\{k\}}$  as points and the set  $gP_k \in G/P_k$  as lines, identifying  $gP_k$  with  $\{ghP_{I-\{k\}} \mid h \in P_k\}$ .

Let  $M = M(\lambda_k)$  be the Lie algebra module over  $\mathbb{F}$  whose highest weight is the fundamental weight  $\lambda_k$  corresponding to the node  $k$  of the  $C_n$ -diagram (see Figure 1). Then there is a natural action  $G \rightarrow \text{GL}(M)$  for which the stabilizer of the highest weight subspace  $\langle v^+ \rangle$  is exactly  $P_{I-\{k\}}$  so that the mapping

$$\begin{aligned} \varphi: \Gamma_k & \hookrightarrow \mathbb{P}(M) \\ gP & \mapsto g\langle v^+ \rangle \end{aligned}$$

injects the point-set of  $\Gamma_k$  into the set of 1-spaces of  $M$ . Moreover, since  $P_k \cong \mathrm{SL}_2(\mathbb{F})$  has a 2-dimensional submodule,  $\varphi$  sends the lines of  $\Gamma_k$  to full 2-spaces of  $M$ . Finally, since  $M$  is a cyclic module by construction we have

$$\langle \varphi(\Gamma_k) \rangle_M = M.$$

Thus  $\varphi$  is a full projective embedding. The module  $M$  has dimension  $\binom{2n}{k} - \binom{2n}{k-2}$ .  $\square$

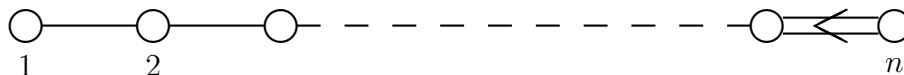


Figure 1: The Dynkin diagram of type  $C_n$

The embedding  $M(\lambda_k)$  is obtained as follows. Recall that  $\Gamma_k$  is obtained as the geometry of t.i.  $k$ -subspaces of  $V$  (of dimension  $2n$ ) with respect to the symplectic form  $\mathfrak{s}$ . It is well-known that the map sending  $K \mapsto \wedge^k K$ , where  $K \subseteq V$  is a  $k$ -subspace yields an embedding of the projective  $k$ -grassmannian into  $\mathbb{P}(\wedge^k V)$ . Consider the map

$$\begin{aligned} \theta: \wedge^k V &\rightarrow \wedge^{k-2} V \\ x_1 \wedge x_2 \wedge \cdots \wedge x_k &\mapsto \sum_{1 \leq i < j \leq k} (-1)^{i+j} \mathfrak{s}(x_i, x_j) x_1 \wedge x_2 \wedge \cdots \wedge \widehat{x}_i \wedge \cdots \wedge \widehat{x}_j \wedge \cdots \wedge x_k. \end{aligned}$$

Then  $M(\lambda_k) = \ker \theta$ . It is clear that a  $k$ -space in  $V$  is in  $\ker \theta$  if and only if it is totally isotropic with respect to  $\mathfrak{s}$ . Thus, the 1-spaces generated by pure vectors in  $\ker \theta$  correspond bijectively to the t.i.  $k$ -spaces in  $V$  and  $\Gamma_k$  has  $\ker \theta$  as a projective embedding.

**Proof** (of Theorem 1). Let as before  $\Gamma_k$  denote the grassmannian of totally isotropic  $k$ -spaces of a vector space of dimension  $2n$  with respect to a non-degenerate symplectic form. In Proposition 6.3 we found a generating set  $\mathcal{S}_{n,k}$  for  $\Gamma_k$  of size  $\binom{2n}{k} - \binom{2n}{k-2}$ . By Lemma 7.1  $\Gamma_k$  has an embedding  $W$  of dimension  $\binom{2n}{k} - \binom{2n}{k-2}$ . Since  $\dim(W) \leq |\mathcal{S}|$  for any embedding  $W$  and generating set  $\mathcal{S}$  we are done.  $\square$

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